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ORIGINAL ARTICLE

# Case Control Study Relationship between metabolic syndrome and hypercapnia among obese patients with sleep apnea

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## Abstract

### BACKGROUND

In the obese patient population, some patients have severe obstructive sleep apnea (OSA) with daytime hypoventilation. Such patients are generally identified on the basis of the presence or absence of daytime hypercapnia, and the condition is called obesity hypoventilation syndrome. However, mechanisms for such daytime hypoventilation remain unclear.

### AIM

To investigate metabolic syndrome and daytime hypercapnia association based on hypercapnia prevalence in obese OSA patients in a nested case-control study.

### **METHODS**

Consecutive obese patients (body mass index  $\ge$  30 kg/m<sup>2</sup>) who underwent polysomnography due to suspected OSA were included. Among them, patients with severe OSA (apnea hypopnea index  $\ge$  30/h) were divided into two groups



Hospital Ethic Board.

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according to the presence or absence of hypercapnia during wakefulness (arterial partial pressure of carbon dioxide  $\geq$  or < 45 Torr, respectively). The characteristics and clinical features of these two groups were compared.

### RESULTS

Among 97 eligible patients, 25 patients (25.8%) had daytime hypercapnia. There were no significant differences in age, gender, body mass index, apnea-hypopnea index, and Epworth Sleepiness Scale scores between the two groups. However, patients with hypercapnia had a significantly lower arterial partial pressure of oxygen level (75.8 ± 8.2 torr *vs* 79.9 ± 8.7 torr, *P* = 0.042) and higher arterial partial pressure of carbon dioxide level (46.6 ± 2.5 torr *vs* 41.0 ± 2.9 torr, *P* < 0.001). Additionally, patients with hypercapnia were more likely to have metabolic syndrome (72.0% *vs* 48.6%, *P* = 0.043) and a higher metabolic score (the number of satisfied criteria of metabolic syndrome). In multivariate logistic regression analysis, the presence of metabolic syndrome was associated with the presence of hypercapnia (OR = 2.85, 95% CI: 1.04-7.84, *P* = 0.042).

### CONCLUSION

Among obese patients with severe OSA, 26% of patients had hypercapnia during wakefulness. The presence of metabolic syndrome was independently correlated with the presence of daytime hypercapnia.

**Key words:** Arterial partial pressure of carbon dioxide; Hypercapnia; Metabolic syndrome; Obesity hypoventilation syndrome; Obstructive sleep apnea; Sleep disordered breathing

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**Core tip:** Daytime hypercapnia presents with severe obstructive sleep apnea in some obese patients, and the condition is called obesity hypoventilation syndrome. However, the prevalence, characteristics, and other clinical features of hypercapnic obese patients with severe obstructive sleep apnea remain unelucidated. Among 97 obese patients with severe obstructive sleep apnea, 25 had daytime hypercapnia, and they were more likely to have the metabolic syndrome. In multivariable analysis, metabolic syndrome was associated with daytime hypercapnia. Although the cause or consequence remains unclear, coexisting metabolic syndrome may play some roles; thus, clinicians should check for metabolic syndrome in obese patients with severe obstructive sleep apnea.

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## INTRODUCTION

Obesity is a growing public health concern, not only in the Western countries but also in the Eastern countries, along with the related comorbid conditions, which lead to an impairment of the patients' quality of life and an increase in mortality<sup>[1]</sup>. One such comorbid condition is obstructive sleep apnea (OSA); it is caused by repetitive collapse of the pharynx during sleep and owing to a narrow pharynx due to fat accumulation in the neck, which compromises the pharyngeal lumen<sup>[2]</sup>. Approximately 10%-20% of obese patients with severe OSA have daytime hypoventilation manifested as daytime hypercapnia, which is generally defined as an arterial atrial pressure of carbon dioxide ( $PaCO_2$ )  $\geq 45$  Torr<sup>[3]</sup>, and the combination of such daytime hypercapnia and obesity [*i.e.*, body mass index (BMI)  $\ge$  30 kg/m<sup>2</sup>] in patients with OSA is called obesity hypoventilation syndrome (OHS)<sup>[4]</sup>. It has been reported that patients who are diagnosed with OHS consume more healthcare resources than do eucapnic obese patients with OSA<sup>[5]</sup>, and that patients with OHS are associated with increased morbidity and mortality compared with eucapnic controls because of the cardiometabolic consequences related to OHS<sup>[6]</sup>. Thus, OHS is distinct from OSA or simple obesity<sup>[7]</sup>. It is not known why only some obese patients with



OSA develop daytime hypoventilation. The presence of excess adipose tissue in the abdomen (*i.e.*, abdominal obesity) and surrounding chest wall may be one cause of hypoventilation; it possibly causes this condition through impeding diaphragm motion and reduced lung compliance<sup>[8]</sup>.

Abdominal obesity plays a central role in metabolic syndrome (MetS), which represents an accumulation of cardiovascular risk factors including high blood pressure, impaired glucose tolerance, and dyslipidemia, and abdominal obesity as an essential condition<sup>[9-11]</sup>. MetS is also known to be associated with an increased incidence of diabetes and cardiovascular disease<sup>[12,17]</sup>. Previous studies have suggested that an independent relationship exists between OSA and MetS<sup>[18]</sup>. If abdominal obesity and/or visceral adiposity play a role in daytime hypoventilation, there may be numerous patients with coexisting MetS and severe OSA with daytime hypercapnia, and the presence of MetS may be associated with daytime hypoventilation. However, data pertaining to the relationship between MetS and daytime hypercapnia in obese patients with severe OSA is absent. The aims of the present study were to investigate the prevalence of daytime hypercapnia in obese patients with severe OSA, to compare obese patients with severe OSA with and without daytime hypercapnia, and to determine whether a relationship exists between MetS and daytime hypercapnia. We hypothesized that obese patients with severe OSA and hypercapnia are more likely to have MetS compared with those without daytime hypercapnia, and that a presence of MetS is associated with daytime hypercapnia.

### MATERIALS AND METHODS

#### Subjects

Consecutive obese (body mass index, BMI  $\geq$  30 kg/m<sup>2</sup>) Japanese patients who underwent overnight polysomnography between October 2005 and September 2006 at our sleep center (*i.e.*, Toranomon Hospital) due to suspected OSA were targeted. Among them, data of eligible patients with severe OSA (apnea-hypopnea index, AHI  $\geq$  30 events per hour of sleep) were analyzed. Exclusion criteria were as follows: (1) Age < 20 years; (2) Having chronic pulmonary disease (obstructive and/or restrictive); (3) History of heart failure; (4) History of hemodialysis; (5) History of stroke with neurological deficits; and (6) Undergoing current treatment for OSA.

This nested case-control study was carried out in accordance with the Declaration of Helsinki, and was approved by the Toranomon Hospital Ethics Board. Since in the present study, sleep study, anthropometric data collection, blood sampling including arterial blood gas analysis, which had already been obtained as routine clinical checkup were analyzed, the requirement to obtain informed consent was waived by Toranomon Hospital Ethics Board using opt-out methods.

#### Sleep study

All subjects underwent full-attended in-hospital overnight polysomnography, using a digital polygraph (SomnoStar<sup>®</sup> Sleep System; SensorMedics Corp., Yorba Linda, CA) equipped with electroencephalograms, electrooculograms, submental electromyogram, chest and abdominal movement recording, body position monitoring, oronasal airflow monitoring, and oxyhemoglobin saturation (SO<sub>2</sub>) recording. Generally accepted definitions and scoring methods for respiratory events, sleep stages, and arousals were used<sup>[19]</sup>. Apneas and hypopneas were classified into obstructive and central<sup>[19,20]</sup>. The AHI was calculated as the number of apneas and hypopneas per hour of sleep. Subjects with AHI  $\geq$  5 events per hour of sleep and obstructive AHI  $\geq$  50% of the total AHI were defined as having OSA. All sleep stages, arousals, and respiratory events were manually scored and interpreted by experienced sleep specialists blinded to information of the subjects.

#### Metabolic syndrome

MetS was diagnosed according to the Japanese definition<sup>[10]</sup>. Briefly, central obesity was defined as waist circumference  $\geq 85$  cm for males and  $\geq 90$  cm for females, high triglycerides was defined as serum triglycerides  $\geq 150 \text{ mg/dL}$ , low high-density lipoprotein (HDL) cholesterol was defined as serum HDL cholesterol < 40 mg/dL, high blood pressure was defined as systolic blood pressure  $\geq 130 \text{ mmHg}$  or diastolic blood pressure  $\geq 85 \text{ mmHg}$ , and high fasting blood sugar was defined as serum blood sugar  $\geq 110 \text{ mg/dL}$ . In subjects who were on specific medications for the abovementioned components, each component was counted as positive for the diagnosis. Subjects with at least two components in addition to central obesity were defined as having MetS. Metabolic score was defined as the number of each component of the MetS criteria.

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#### Blood tests and other data

Fasting venous blood samples were obtained after an overnight fast, in the early morning and at the time of the sleep study. The glycated hemoglobin (HbA1c) value was estimated according to the National Glycohemoglobin Standardization Program equivalent value<sup>[21]</sup>. Arterial blood for blood gas analysis was obtained under room air conditions just before starting the sleep study with the subjects in the supine position, maintained for 20 min before sampling. Arterial partial pressure of carbon dioxide (PaCO<sub>2</sub>)  $\geq$  45 Torr was regarded as daytime hypercapnia, and all eligible patients were divided into two groups according to the presence or absence of hypercapnia during wakefulness (*i.e.*, PaCO<sub>2</sub>  $\geq$  45 Torr as cases or PaCO<sub>2</sub> < 45 Torr as controls). Blood pressure (BP) was measured by trained nurses with the patient in a sitting position, in the early morning following the sleep study. The Epworth Sleepiness Scale (ESS) score was computed to assess subjective sleepiness<sup>[22]</sup>. All medications used by the subjects at the time of sleep study were recorded.

#### Statistical analysis

The null hypothesis for this study was that the proportions of MetS in patients with and without daytime hypercapnia were equal among obese patients with severe OSA. Because there are no previous data regarding proportions of MetS in patients with and without daytime hypercapnia, a medium effects size (*i.e.*, 0.3) was applied. Assuming a 2-tailed Type I error of 0.05, we required a total sample size of 88 with a power of 0.8.

Continuous variables are expressed as mean ± SD or median (interquartile range), and were compared between patients with and without daytime hypercapnia using a Student's t-test or Mann-Whitney U-test. Categorical variables are shown as numbers and percentages and were compared using  $\chi^2$  or Fisher's exact test. Associations between the presence of hypercapnia and subjects' characteristics, features, and sleep study results were analyzed using univariable logistic regression analyses including the presence of hypercapnia as a dependent variable and age, gender, BMI, total cholesterol, HbA1c, uric acid, C-reactive protein, ESS score, awake PaO<sub>2</sub>, sleep study results (total sleep time, total, obstructive, and central AHI, the percent of time spent  $SO_2 < 90\%$ , lowest  $SO_2$ , arousal index, sleep stages), metabolic score, and MetS as independent variables. Then, variables with P < 0.10 in univariable analysis were entered into two separate multivariate logistic regression models (P < 0.10 for inclusion and P > 0.05 for exclusion). One included MetS as one of the independent variables and the other included metabolic score as one of the independent variables with the presence of hypercapnia as a dependent variable. A P value of < 0.05 was considered statistically significant. All statistical analyses were performed using the IBM SPSS version 23.0 (IBM Corp., Tokyo, Japan).

### RESULTS

#### Characteristics of patients

Figure 1 shows a flow chart of the patient recruitment process. During the study period, 876 patients were diagnosed with OSA (*i.e.*, AHI  $\ge$  5 events per hour of sleep) with overnight polysomnography. Among them, 97 patients were eligible for the present study and their data were analyzed.

Out of 97 patients, 25 patients (25.8%) had daytime hypercapnia. Table 1 lists the characteristics of the patients. Overall, middle aged men with an abdominal obesity were enrolled in this study. We found that apart from  $PaO_2$  and  $PaCO_2$ , the characteristics were similar between the two groups. In patients with daytime hypercapnia,  $PaO_2$  was significantly lower compared with those without daytime hypercapnia, in addition to the significantly higher  $PaCO_2$  by definition.

The sleep study results are summarized in Table 2. Interestingly, there were no significant differences in the frequency and type of apnea and hypopnea, hypoxia parameters during sleep, and the sleep stages between the two groups. However, the prevalence of MetS and the metabolic scores were greater in patients with daytime hypercapnia than in patients without it (Figure 2).

#### Relationship between daytime hypercapnia and other parameters

In univariable analysis, only the lower awake  $PaO_2$  levels, presence of MetS, and the metabolic scores were significantly associated with presence of daytime hypercapnia (Table 3). Thus, two separate multivariable logistic regression models, one which included awake  $PaO_2$  and MetS and another which included awake  $PaO_2$  and metabolic scores, were created (Table 3). In the multivariable models, the presence of MetS and the increased metabolic scores were associated with the presence of daytime



Figure 1 Flowchart depicting the patient recruitment process. AHI: Apnea-hypopnea index; BMI: Body mass index; OSA: Obstructive sleep apnea.

hypercapnia (OR = 2.85; P = 0.042, and OR = 1.66; P = 0.048, respectively) in obese patients with severe OSA.

### DISCUSSION

The present study provides several insights into the relationship between MetS and daytime hypercapnia in obese patients with severe OSA. Firstly, among obese patients with severe OSA, 25.8% had daytime hypercapnia. Secondly, in patients with daytime hypercapnia, prevalence of MetS and the metabolic scores were greater than in patients without daytime hypercapnia. Furthermore, awake PaO<sub>2</sub> was significantly lower in patients with daytime hypercapnia than in those without. In addition, despite no differences in the study results including AHI, PaCO<sub>2</sub> was significantly higher. Thirdly, in the multivariable analysis, a significant relationship between MetS and daytime hypercapnia was observed in obese patients with severe OSA. Finally, a significant dose-response relationship was observed between metabolic scores and daytime hypercapnia in obese patients with severe OSA. Therefore, this study is the first to show that the presence of MetS and increased metabolic scores were associated with a greater risk of daytime hypercapnia in these patients. The importance of the present study is that physicians will be able to identify patients at risk for OHS, on noting MetS or high metabolic scores in obese patients with severe OSA, without determining arterial blood gas. Because patients with OHS consume greater levels of healthcare resources<sup>[5]</sup>, and are associated with increased morbidity and mortality compared with eucapnic controls<sup>[6]</sup>, distinguishing patients with and without OHS is highly valuable.

The prevalence of daytime hypercapnia in obese patients with OSA was reported to be 10%–20%<sup>[3,23,24]</sup>. In Japan, there are two reports outlining the prevalence of OHS; one of these reports showed that daytime hypercapnia was present in 9% of patients with OSA (AHI  $\geq$  20 events per hour of sleep), and in 32% of patients with obesity and OSA (AHI  $\geq$  20 events per hour of sleep and BMI  $\geq$  30 kg/m<sup>2</sup>)<sup>[25]</sup>. In another report, Harada and colleagues showed that daytime hypercapnia (PaCO<sub>2</sub>  $\geq$  45 Torr) was present in 2.3% of patients with OSA (AHI  $\geq$  5 events per hour of sleep) and 12.3% of obese patients with OSA (AHI  $\geq$  5 events per hour of sleep) and 8MI  $\geq$  30 kg/m<sup>2</sup>)<sup>[26]</sup>. In the present study, approximately one fourth (25.8%) of the participants had daytime hypercapnia. This prevalence is greater than those found in the previous studies in the Japanese population and may be due to the inclusion of only obese (BMI  $\geq$  30 kg/m<sup>2</sup>) and severe OSA (AHI  $\geq$  30 events per hour of sleep) patients in this study (Table 4). Because our center is one of the biggest sleep centers in Japan, the possibility of a referral bias may exist. Therefore, this should be taken into account while interpreting the prevalence of daytime hypercapnia among obese patients with

Table 1 Characteristics of the study patients				
	OSA without hypercapnia ( <i>n</i> = 72)	OSA with hypercapnia ( <i>n</i> = 25)	P value	
Age, yr	44.6 ± 11.2	45.6 ± 12.3	0.731	
Men, n (%)	67 (93)	24 (96)	0.960	
BMI, kg/m <sup>2</sup>	$33.8 \pm 4.1$	33.6 ± 3.4	0.790	
Waist circumference, cm	$109.3 \pm 10.4$	$110.7 \pm 11.6$	0.567	
Systolic blood pressure, mmHg	118.6 ± 35.5	$129.3 \pm 30.6$	0.183	
Diastolic blood pressure, mmHg	$71.5 \pm 22.1$	76.6 ± 18.9	0.313	
Total cholesterol, mg/dL	195.6 ± 39.9	$202.2 \pm 25.3$	0.439	
Triglycerides, mg/dL	150.0 (94.0)	164.0 (93.0)	0.351	
HDL cholesterol, mg/dL	$43.7 \pm 7.8$	$41.9 \pm 9.1$	0.360	
Fasting blood glucose, mg/dL	$110.3 \pm 29.8$	$114.6 \pm 38.5$	0.567	
HbA1c %	$6.4 \pm 1.4$	$6.3 \pm 1.2$	0.923	
Uric acid, mg/dL	$5.7 \pm 3.0$	$6.7 \pm 3.4$	0.173	
CRP, mg/dL	0.1 (0.2)	0.2 (0.3)	0.275	
Epworth Sleepiness Scale	11.0 (8.0)	10.5 (9)	0.862	
Awake PaO <sub>2</sub> , Torr	$79.9 \pm 8.7$	$75.8 \pm 8.2$	0.042	
Awake PaCO <sub>2</sub> , Torr	$41.0 \pm 2.9$	$46.6 \pm 2.5$	< 0.001	
Medications, <i>n</i> (%)				
Antihypertensive	18 (25)	8 (32)	0.675	
Antidyslipidemic	1 (1)	2 (8)	0.162	
Antidiabetic	4 (6)	1 (4)	0.999	
Antihyperuricemic	5 (7)	1 (4)	0.999	

BMI: Body mass index; CRP: C-reactive protein; HbA1c: Glycosylated hemoglobin A1c; HDL: High density lipoprotein; OSA: Obstructive sleep apnea; PaCO<sub>2</sub>: Arterial partial pressure of carbon dioxide; PaO<sub>2</sub>: Arterial partial pressure of oxygen.

OSA in the present study.

In the present study, however, compared to patients without daytime hypercapnia, PaO<sub>2</sub> was significantly lower, probably in association with daytime hypoventilation, and prevalence of MetS and metabolic scores were significantly greater in those with daytime hypercapnia. MetS is strongly associated with abdominal obesity and/or visceral adiposity<sup>[9,10,27]</sup>. As previously reported, abdominal obesity has an independent effect on hypoventilation<sup>[28]</sup>. Abdominal obesity with excess adiposity surrounding the chest wall can restrict respiration by impairing diaphragm motion and reducing lung compliance<sup>[8]</sup>, and is associated with a reduction in  $PaO_{2}^{[29]}$ , thereby inducing daytime hypoxia<sup>[30]</sup> in addition to daytime hypercapnia. Such mechanisms may play a central role in the daytime hypercapnia prevalent in our patient population. However, considering the fact that there was no significant difference in waist circumference between patients with and without hypercapnia, MetS by itself or along with other factors, rather than abdominal obesity alone may contribute to the development of daytime hypercapnia. For instance, one of the MetS components, accumulation of each MetS component, quality of abdominal/visceral fat, or mediators/products from the adipose tissue are potential contributors. Nevertheless, whether daytime hypercapnia can be reversed by the reduction of waist circumference may be of interest.

Leptin, a circulating protein known to inhibit appetite centrally and stimulate ventilation, is produced mainly by the adipose tissue<sup>[7]</sup>. However, plasma leptin levels are high in obese individuals; it is hypothesized that these individuals may have leptin resistance, and consequently have central leptin deficiency<sup>[7,31]</sup>. Patients with OSA and OHS had higher plasma levels of leptin than did weight-matched controls without either OSA or OHS<sup>[32,33]</sup>. Furthermore, leptin levels may be a better predictor of hypercapnia than degree of adiposity<sup>[33]</sup>, as higher leptin levels are associated with a reduced response to hypercapnia in severely obese individuals<sup>[34]</sup>. In contrast, hyperleptinemia and leptin resistance were observed in patients with MetS independent of obesity<sup>[35–37]</sup>. In addition, increased levels of leptin and leptin resistance are associated with the development and worsening of each component of MetS independent of obesity<sup>[88]</sup>. Thus, hyperleptinemia and leptin resistance may play a key role in the relationship between MetS and daytime hypercapnia in obese patients with severe OSA independent of abdominal obesity. Furthermore, coexistence of MetS and

Table 2 Sleep study data			
	OSA without hypercapnia ( <i>n</i> = 72)	OSA with hypercapnia ( <i>n</i> = 25)	P value
TST, min	333.5 ± 82.7	330.6 ± 69.7	0.876
Total AHI, events/h	$60.8 \pm 20.5$	65.6 ± 26.1	0.353
Obstructive	58.0 ± 21.9	64.8 ± 26.6	0.206
Central	0.3 (0.9)	0.3 (0.6)	0.815
Time spent SO <sub>2</sub> < 90%/TST, %	46.1 (54.7)	56.9 (60.0)	0.128
Lowest SO <sub>2</sub> , %	69.5 ± 9.2	68.8 ± 7.8	0.764
Arousal index, events/h	55.2 ± 24.1	59.1 ± 25.1	0.491
Sleep stages, %TST			
N1	$40.5 \pm 16.7$	$45.2 \pm 18.7$	0.245
N2	$44.8 \pm 13.2$	39.4 ± 15.1	0.094
N3	2.3 (9.0)	6.1 (9.7)	0.817
REM	9.1 (6.2)	10.8 (5.7)	0.494

AHI: Apnea-hypopnea index; OSA: Obstructive sleep apnea; REM: Rapid eye movement; SO<sub>2</sub>: Oxyhemoglobin saturation; TST: Total sleep time.

high metabolic scores with daytime hypercapnia might explain the increased morbidity and mortality in patients with OHS<sup>[6]</sup>.

The present study has several limitations. Firstly, the number of enrolled participants was relatively small, from a single center, and included only a Japanese patient population. Secondly, this study was a cross-sectional study; thus, a causal relationship between MetS and daytime hypercapnia could not be determined. Thirdly, although we assumed that leptin resistance may play a key role in the relationship between MetS and daytime hypercapnia in our patients, circulating levels of leptin were not measured. This was a pilot study; however, it may contribute to generating further hypotheses that hyperleptinemia contributes to the daytime hypoventilation in obese patients with severe OSA. Finally, although patients with chronic pulmonary disease were excluded, there may be some patients with subclinical impairment of pulmonary function. Thus, a lack of pulmonary function test data is one of the major limitations and the present results should be interpreted with caution.

In conclusion, we have shown that approximately one fourth of obese patients with severe OSA had daytime hypercapnia and that the presence of MetS and increased number of MetS components were independently correlated to daytime hypercapnia. However, whether this relationship is causal or consequential remains unclear. Coexistence of MetS may play a role, and its determination may be important in obese patients with severe OSA. Physicians should consider the possibility of daytime hypercapnia in cases of obese patients with severe OSA presenting with coexisting MetS.

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Table 3 Results of logistic regression analyses					
	OR (95%CI)	P value	OR (95%CI)	P value	
Awake PaO <sub>2</sub> (1 Torr increase)	0.94 (0.89-0.99)	0.047	0.94 (0.89-0.99)	0.043	
Metabolic syndrome	2.72 (1.01-7.30)	0.047	2.85 (1.04-7.84)	0.042	
Awake PaO <sub>2</sub> (1 Torr increase)	0.94 (0.89-0.99)	0.047	0.94 (0.89-1.00)	0.052	
Metabolic score (1-point increase)	1.65 (1.01-2.68)	0.044	1.66 (1.01-2.74)	0.048	

PaO2: Arterial partial pressure of oxygen.

 Table 4 Summary of studies investigating the prevalence of daytime hypercapnia in Japanese obese patients with obstructive sleep apnea

Ref.	n	Population	Prevalence of daytime hypercapnia (PaCO₂ ≥ 45 Torr)
Akashiba <i>et al</i> <sup>[25]</sup>	172	OSA (AHI $\ge$ 20 events/h) with Obesity (BMI $\ge$ 30 kg/m <sup>2</sup> )	32.0%
Harada <i>et al</i> <sup>[26]</sup>	162	OSA (AHI $\ge$ 5 events/h) with Obesity (BMI $\ge$ 30 kg/m <sup>2</sup> )	12.3%
Current research	97	OSA (AHI $\ge$ 30 events/h) with Obesity (BMI $\ge$ 30 kg/m <sup>2</sup> )	25.8%

AHI: Apnea-hypopnea index; BMI: Body mass index; OSA: Obstructive sleep apnea; PaCO2: Arterial partial pressure of carbon dioxide.



Figure 2 Prevalence of metabolic syndrome prevalence in and metabolic scores of patients with and without daytime hypercapnia. A: Prevalence of metabolic syndrome was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients with daytime hypercapnia (P = 0.043); B: Metabolic score was significantly greater in patients w

### **ARTICLE HIGHLIGHTS**

#### Research background

In the obese patient population, some patients have severe obstructive sleep apnea (OSA) with daytime hypoventilation. Such patients are generally identified on the basis of the presence or absence of daytime hypercapnia, and the condition is called obesity hypoventilation syndrome (OHS). However, mechanisms for such daytime hypoventilation remain unclear.

#### **Research motivation**

Because patients with OHS consume greater levels of healthcare resources, and are associated with increased morbidity and mortality compared with eucapnic controls, identifying patients with OHS is highly valuable.

#### **Research objectives**

To investigate metabolic syndrome and daytime hypercapnia association based on hypercapnia prevalence in obese OSA patients in a nested case-control study.

#### Research methods

Consecutive obese patients (body mass index  $\geq 30 \text{ kg/m}^2$ ) who underwent polysomnography due to suspected OSA were included. Among them, patients with severe OSA (apnea hypopnea index  $\geq 30/h$ ) were divided into two groups according to the presence or absence of hypercapnia

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during wakefulness (arterial partial pressure of carbon dioxide  $\geq$  or < 45 Torr, respectively).

#### **Research results**

Among 97 eligible patients, 25 patients (25.8%) had daytime hypercapnia. There were no significant differences in age, gender, body mass index, apnea-hypopnea index, and Epworth Sleepiness Scale scores between the two groups. However, patients with hypercapnia had a significantly lower arterial partial pressure of oxygen level (75.8 ± 8.2 torr *vs* 79.9 ± 8.7 torr, *P* = 0.042) and higher arterial partial pressure of carbon dioxide level (46.6 ± 2.5 torr *vs* 41.0 ± 2.9 torr, *P* < 0.001). Additionally, patients with hypercapnia were more likely to have metabolic syndrome (MetS) (72.0% *vs* 48.6%, *P* = 0.043) and a higher metabolic score (the number of satisfied criteria of metabolic syndrome). In multivariate logistic regression analysis, the presence of MetS was associated with the presence of hypercapnia (OR = 2.85, 95% CI: 1.04-7.84, *P* = 0.042).

#### Research conclusions

This study is the first to show that the presence of MetS and increased metabolic scores were associated with a greater risk of daytime hypercapnia in these patients. The importance of the present study is that physicians will be able to identify patients at risk for OHS, on noting MetS or high metabolic scores in obese patients with severe OSA, without determining arterial blood gas. Physicians should consider the possibility of daytime hypercapnia in cases of obese patients with severe OSA presenting with coexisting MetS. In addition, we have suggested that hyperleptinemia and leptin resistance may play a key role in the relationship between MetS and daytime hypercapnia in obese patients with severe OSA independent of abdominal obesity.

#### Research perspectives

Although a causal relationship between MetS and daytime hypercapnia remains to be elucidated, and although circulating levels of leptin were not measured in the present study, this study may contribute to generating hypotheses that hyperleptinemia in association with MetS contributes to the daytime hypoventilation in obese patients with severe OSA. Thus, further large-scale prospective studies including measurement of circulating levels of leptin are needed.

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