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Repeated FIB-4 measurements can help identify individuals at risk of severe liver disease

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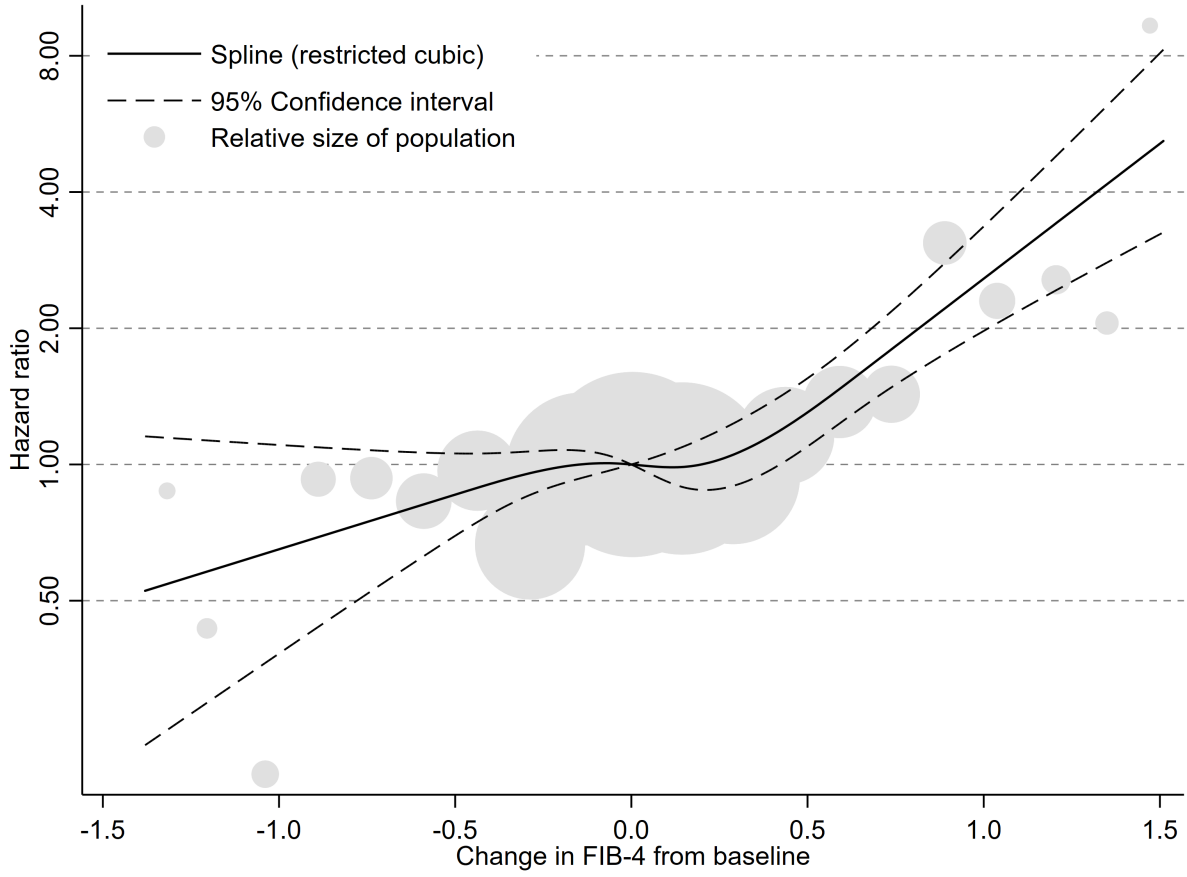
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1 **Repeated FIB-4 measurements can help identify individuals at risk of severe**
2 **liver disease**

3

4 Short title: Repeated measurements of FIB-4 and severe liver disease

5

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24

1 **Abbreviations:** ALT, alanine aminotransferase. AMORIS, Apolipoprotein-related
2 Mortality Risk. AST, aspartate aminotransferase. BMI, body mass index. CI,
3 confidence interval. FIB-4, Fibrosis-4 index. g-GT, gamma-glutamyltransferase. HR,
4 hazard ratio. ICD, international classification of disease. IQR, interquartile range.
5 NAFLD, nonalcoholic fatty liver disease. NPV, negative predictive value. PPV,
6 positive predictive value. T2DM, type 2 diabetes mellitus.

7

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- 4 Statistical analysis: MT
- 5 Analysis and interpretation of data: All
- 6 Drafting of manuscript: HH
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1 Abstract

2 Background & Aims: It is unclear as to whether the identification of individuals at
3 risk of cirrhosis using noninvasive tests can be improved by repeated measurements.

4 Methods: Data were derived from the population-based Swedish AMORIS cohort
5 with baseline examinations from 1985-1996. The Fibrosis-4 index (FIB-4) was
6 calculated at two time points within 5 years. Thereafter, we associated changes in
7 FIB-4 with outcomes. Incident severe liver disease was ascertained through linkage
8 with Swedish national registers until 2011. Hazard ratios (HRs) and confidence
9 intervals (CIs) for outcomes were calculated using Cox regression.

10 Results: Of 126,942 persons with available FIB-4 data, 40,729 (32.1%) underwent a
11 second test within 5 years (mean interval 2.4 years). During 613,376 person-years of
12 follow-up, 581 events of severe liver disease were documented (0.95/1,000 person-
13 years). An increase of one unit in FIB-4 was associated with an elevated risk of severe
14 liver disease (aHR=1.81, 95%CI=1.67-1.96). Transitioning from a low- or
15 intermediate- to a high-risk group was associated with an increased risk of severe
16 liver disease compared with those consistently in the low-risk group (aHR=7.99 and
17 8.64, respectively). A particularly increased risk of severe liver disease was found in
18 persons defined as high-risk at both tests (aHR=17.04, 95%CI=11.67-24.88).
19 However, almost half of all events occurred in those consistently in the low-risk
20 group.

21 Conclusions: Repeated testing of FIB-4 within 5 years improves the identification of
22 individuals in the general population at an increased risk of severe liver disease.
23 However, the sensitivity is comparatively low and improved tests are needed for
24 screening in a general population or primary care setting.

25

1 **Lay summary:** The Fibrosis-4 scoring system is often used to estimate the risk of
2 advanced fibrosis in liver diseases. Here, we found that changes in this score over
3 time is associated with the risk of future severe liver disease in a population-based
4 cohort. However, even if the prediction is improved by repeated testing, the overall
5 ability of the score to predict future events is relatively low.

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1 Introduction

2 Advanced fibrosis (stage 3-4 by liver biopsy) is the major predictor of clinically
3 significant outcomes [1-3]. Thus, defining the presence or absence of advanced
4 fibrosis is key in making a prognosis in persons with known or suspected chronic
5 liver disease. Persons without advanced fibrosis have a low risk of progression to
6 cirrhosis within a 10-15-year time frame [2, 4]. Conversely, persons with advanced
7 fibrosis more frequently experience severe liver-related endpoints and have higher
8 overall mortality [1-3]. The gold standard for diagnosing fibrosis is liver biopsy,
9 which is not reasonable to use as a screening tool in larger populations, expressly in a
10 general population or primary care setting. Several non-invasive scores have been
11 developed to identify individuals with prevalent advanced fibrosis [5-7]. These scores
12 have all been made from selected populations exposed to liver biopsy with a high
13 prevalence of advanced fibrosis; their use in general population settings with a much
14 lower prevalence of advanced fibrosis is limited. Recently, we showed that the
15 capacity of five non-invasive scores to predict incident severe liver disease in a
16 general population setting was modest [8].

17 It is not well described whether repeated measures of the available noninvasive
18 screening tools would improve the usefulness of these tools and whether improvement
19 or worsening in these measures is associated with an improved or worsened
20 prognosis.

21

22 Here, we tested the general hypothesis that repeated measurements of the commonly
23 used FIB-4 index (FIB-4) would improve the identification of individuals at risk of
24 severe liver disease compared with a single measurement. Our specific aims were to

- 1 1) investigate the association of changes in FIB-4 measured at two time points with
- 2 incident severe liver disease in the general population and 2) examine the natural
- 3 course of FIB-4 in the same population.

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1 Material and methods

2 Study population

3 We used data from the Swedish Apolipoprotein MOrtality RISk (AMORIS) cohort.

4 AMORIS is a general population cohort that underwent blood sampling between 1985

5 and 1996 [9]. The cohort includes 812,073 individuals who were either taking part in

6 yearly routine health check-ups through occupational health screening or outpatients

7 in primary care referred for laboratory testing. No individuals were hospitalized at the

8 time of blood sampling. All individuals of the AMORIS cohort were residents of

9 Sweden and predominantly living in Stockholm County (67%) at the time of blood

10 sampling. During the testing period, the total population of Stockholm County was

11 about 1.6 million inhabitants. Thus, the AMORIS cohort constituted a substantial part

12 of the total population of Stockholm County during this period. A detailed cohort

13 description is available elsewhere [9].

14 Individuals with information to calculate FIB-4 at two time points were included in

15 the study. We chose to focus on FIB-4 in that it was one of the best-performing scores

16 in our previous analyses [8]. In addition, data were available for a large proportion of

17 the initial cohort and FIB-4 is one of the most commonly used scores in clinical

18 practice [10].

19 Because FIB-4 has been found not to perform well in younger and older populations

20 [11], we excluded persons below 35 and above 79 years.

21 We also excluded persons with an ICD-based diagnosis of any specific liver disease

22 (e.g., alcohol-related liver disease) at or before baseline, except for NAFLD. We also

23 excluded persons with a history of severe liver disease (see definition below and in

24 the Supplementary Appendix) or any diagnosis of drug or alcohol abuse at or before

1 baseline. Finally, we excluded persons with secondary tests only within 3 months
2 after the first test. This exclusion was done to reduce the risk of selecting persons with
3 baseline significant liver disease that led to the second test or persons with falsely
4 high lab tests. Persons diagnosed with a specific liver disease other than NAFLD or a
5 drug- or alcohol-related disorder during follow-up were censored at the time of
6 diagnosis. A list of all diagnoses and ICD codes used in the current study is presented
7 in Supplementary Table 1a-b.

8 Variables

9 **Blood sampling and laboratory analyses**

10 Information on all biomarkers was available from the health examinations in 1985-
11 1996. All laboratory analyses were conducted on fresh blood serum samples (53%
12 after overnight fasting) at CALAB Medical Laboratories, Stockholm, Sweden using a
13 uniform and well-documented methodology. Technical specifications for the applied
14 methods are listed in the Supplementary Appendix. The FIB-4 was calculated as:

- 15 • $(\text{Age} \times \text{aspartate aminotransferase, AST}) / (\text{Platelets} \times \sqrt{(\text{alanine}$
16 $\text{aminotransferase, ALT}) [5].$

17 We categorized persons into low-, intermediate- and high-risk groups for advanced
18 fibrosis based on the following suggested cut-offs: <1.30 (low risk), 1.3-2.67
19 (intermediate risk and >2.67 (high risk). However, we did not change the lower cut-
20 off for persons ≥ 65 years of age to 2.0, as has been suggested [11]. This approach was
21 introduced to reduce false-positive findings in persons ≥ 65 years, but how this should
22 be applied using repeated measurements has not been evaluated and is not entirely
23 straightforward. For instance, a person at the age of 64 years at a first test with a score

1 of 1.9 (intermediate risk) would be re-categorized as low risk when he or she reached
2 65 years, provided that AST, ALT and platelets remained stable.

3

4 As a person's first test, we selected the record for which FIB-4 could be calculated for
5 the first time. As the second test for the same person, we used the last record within a
6 5-year time frame. This tactic was used as we previously showed that the prediction
7 of incident severe liver disease is best in a shorter time frame [8]. In a sensitivity
8 analysis we included every person with a second test within the full study period,
9 giving a theoretical time between tests of 12 years. We chose the second test with the
10 longest possible duration from the first test. For instance, if a person had a second test
11 in year 3 and an additional test in year 4, the year 4 test was chosen as the time of the
12 second test in the main analysis.

13

14 **Information on covariates**

15 The Swedish personal identification number is a unique 12-digit code provided to all
16 Swedish residents [12]. The personal identification number was used to link the
17 laboratory data from the study cohort to Swedish national registers and other
18 databases to obtain information on body mass index (BMI), presence of type 2
19 diabetes mellitus (T2DM) and other covariates [9] in persons for which such data
20 were available.

21 Information on BMI was retrieved from the baseline health examinations where
22 available but also from the Swedish Medical Birth Register, national quality of care
23 registers and research cohorts at Karolinska Institutet previously linked to the
24 AMORIS cohort [10]. We allowed BMI to be used if data were present within 4 years
25 before the first test. T2DM was defined as present if the person had a serum glucose

1 from a baseline testing of >126 mg/dl (fasting) or >200 mg/dl (non-fasting) or was
2 listed in the Swedish National Diabetes Register or had a self-reported T2DM
3 diagnosis from a linked research cohort, or if an ICD code corresponding to diabetes
4 was present in the National Patient Register at or before baseline [10]. In all cases the
5 age at first diagnosis of T2DM had to be ≥ 35 years to reduce the risk of
6 misclassifying persons with type 1 diabetes.

7 Information about socioeconomic status was obtained from the national population
8 and housing censuses for 1970-1990 [13]. Socioeconomic status was classified as
9 blue- or white-collar workers.

10 Follow-up

11 Follow-up started at the date of the second test and ended at an outcome event,
12 emigration, death, a diagnosis of a specific liver disease other than NAFLD (e.g.,
13 Hepatitis C) or end of follow-up (December 31, 2011), whichever came first. To
14 ascertain outcomes linkage to nationwide Swedish registers using the personal
15 identification number was conducted. A description of the registers used for outcome
16 ascertainment is available in the Supplementary Appendix. The completeness and
17 overall quality of the registers are considered high [13-16]. Severe liver disease was
18 defined as an ICD code corresponding to a diagnosis of cirrhosis, liver failure,
19 hepatocellular carcinoma, liver transplantation, decompensated liver disease or death
20 in liver disease as the main cause of death. Decompensated liver disease was defined
21 as coding for esophageal varices, ascites, hepatorenal syndrome or hepatic
22 encephalopathy. ICD codes used to define outcomes are listed in Supplementary
23 Table 1a.

24

1 **Analyses**

2 First, we investigated transitions from one risk group to another from the first to the
3 second test. In the proportional hazards regression analyses persons classified as low
4 risk at both tests were used as the reference group. We also analyzed the hazard ratio
5 (HR) associated with a one-unit change over time in FIB-4 as a continuous variable.
6 Second, we estimated sensitivity, specificity, negative and positive predictive values
7 (NPVs and PPVs) and overall test accuracy for the development of severe liver
8 disease based on transitioning between tests. This analysis used persons classified as
9 low risk at both tests as the comparator group; a second group was established from
10 persons classified as intermediate in the second test; a third group was constructed
11 from persons classified as high in the second test; and a fourth group was created
12 from persons classified as high at both tests. These analyses excluded persons that
13 transitioned from the high- or intermediate-risk groups to the low-risk group. We also
14 compared key characteristics of the persons included in the study to those that only
15 had a single testing occasion where FIB-4 could be calculated.

16

17 **Statistical analysis**

18 Participant characteristics were described using means, percentages, medians and
19 interquartile ranges (IQRs). The incidence proportion of severe liver disease was
20 calculated as the number of events during follow-up divided by the number of
21 individuals at risk at baseline during the defined study period. Cox proportional
22 hazards models, with attained age as the time scale, were used to estimate HRs
23 together with 95% confidence intervals (95% CIs). Three models were estimated:

1 model 1 adjusted for age, model 2 additionally adjusted for sex and socioeconomic
2 status and model 3 additionally adjusted for the time between tests.
3 In the analysis in which the FIB-4 had been grouped into three risk categories at the
4 respective time points (low, intermediate and high risk) the low-low group was used
5 as the reference category. In the analysis in which the FIB-4 was treated as a
6 continuous variable we used the baseline score together with the change in score
7 between the two time points. The change in the FIB-4 over a 5-year period was
8 calculated using the difference between an individual's baseline value and the last
9 measurements between 3 months and 5 years after baseline. The average yearly
10 change in the FIB-4 was then calculated by fitting a least-squares regression line with
11 95%CI to the mean of the differences for each 30-day period after baseline. In
12 addition, we calculated the specificity, sensitivity, PPV, NPV and general test
13 accuracy for the development of severe liver disease during the follow-up. Statistical
14 analyses were conducted using STATA version 15.1 (StataCorp LLC, College
15 Station, Texas, USA).

16 Ethical considerations

17 The study was approved by the Regional Ethics Committee in Stockholm (Dnr.
18 2010/1047-31/1). Informed consent was waived by the board because the study was
19 strictly register-based.

20

1 Results

2 There were 126,942 individuals in which the FIB-4 could be calculated at least once
3 during the study period. We excluded individuals where FIB-4 could only be
4 calculated once (n=79,705). To reduce the risk of including persons with a high
5 probability of a falsely high FIB-4 at the first testing time, we also excluded 2,862
6 individuals who had a second test done within 3 months of the first test, but never
7 again after that period. From the remaining 44,375 individuals (35.0% of the full FIB-
8 4 cohort), 40,729 (91.8%) had the second test within 5 years from the first test.
9 These 40,729 individuals constituted the study population for the main analysis,
10 whereas the 44,375 persons with a second test at any time during the 12-year baseline
11 study period were included in a sensitivity analysis.

12 After the second test, the cohort was followed for a median time of 16.2 years (IQR
13 12.1-19.2), corresponding to 613,376 person-years. We ascertained 11,929 (29.29%)
14 deaths and 581 events of severe liver disease (1.43%) during the follow-up. In all,
15 1,212 persons (2.98%) emigrated from Sweden and 2,871 (7.05%) were diagnosed
16 with a specific liver disease other than NAFLD and were censored.

17 The median age at the first test was 54.5 years (IQR 45.5-65.1) and 41.2% were male.
18 The median value of the FIB-4 at the first test was 0.91 (IQR 0.67-1.24) and the
19 proportions of persons in the low, intermediate and high-risk groups were 77.8%,
20 20.7% and 1.5%, respectively.

21 Characteristics of the cohort at the time of the first and second tests are presented in
22 Table 1 while corresponding information stratified by risk groups based on the first
23 and second tests is shown in Supplementary Table 3. Differences in key parameters
24 between the persons included in this study compared to persons that only had a single

1 testing occasion (n=79,705) are presented in the Supplementary Table 4. In brief, the
2 included persons were slightly older (55.0 vs 52.4 years) but the overall risk of severe
3 liver disease was similar (mean difference 0.09%, 95%CI -0.04 – 0.24).

4

5 The median time between tests was 2.4 years (IQR 1.2-3.9). The mean annual change
6 in the FIB-4 over 5 years was 0.020 units (95%CI=0.016-0.023). Men had a faster
7 progression rate (mean annual change 0.030, 95%CI=0.025-0.035) compared with
8 women (0.013, 95%CI=0.009-0.018). This increase was similar using data from all
9 tests during the 5-year period (estimated annual change=0.027, 95%CI=0.024-0.031)
10 and slightly higher in the sensitivity analysis using data from the full 12-year follow-
11 up (mean annual change 0.024, 95%CI=0.022-0.025).

12 The rate of change was also associated with age, with a somewhat faster progression
13 in persons ≥ 65 years in both men (mean annual change 0.032 vs. 0.029) and women
14 (0.018 vs. 0.011) (Supplementary Figure 1). Of the 40,729 included persons, 30,435
15 (74.7%) were below 65 at the time of the first test and of these, 2,295 (7.5%) were 65
16 or older at the time of the second test.

17

18 **Transition between risk groups**

19 The number and proportion of persons that were stable or changed risk groups based
20 on the FIB-4, total events of severe liver disease, incidence rates and corresponding
21 HRs are presented in Table 2. About 25% of all persons changed the risk group from
22 the first to the second test. Transitioning was less common in persons in the group
23 defined as low risk at the first test (13.3%) vs. the intermediate- (36.9%) and high-risk
24 group (58.7%) (Table 2).

1 In persons classified as low risk at both tests, also used as the reference group
2 (n=27,466 [67.4%]), there were 281 events of severe liver disease (1.0% of exposed
3 persons in that group, corresponding to 48.4% of all events). Compared with this
4 group, an increased risk of severe liver disease was found for all other categories,
5 except for persons initially classified as intermediate risk who transitioned to low risk.
6 In that group (n=2,661 [6.5%], 1.1% experienced an event) the risk was comparable
7 with the reference group (adjusted HR [aHR]=0.97, 95%CI=0.66-1.43). The highest
8 risk was found in persons classified as high risk at both time points (n=250 [0.6%],
9 13.2% experienced an event, aHR=17.04, 95%CI=11.67-24.88).

10 A one-unit increase in the FIB-4 between the two tests was also associated with an
11 elevated risk of severe liver disease (aHR=1.81, 95%CI=1.67-1.96). A restricted
12 cubic spline model of the risk of severe liver disease associated with an increase in the
13 FIB-4, modelled as a continuous predictor, is depicted in Figure 2. Using a Kaplan-
14 Meier analysis, the risk of severe liver disease stratified on the nine subgroups is
15 presented in Figure 3, with median time to event presented also in Table 2.

16

17 General test characteristics (sensitivity, specificity, NPV, PPV and general test
18 accuracy) for the pre-specified transitioning groups are listed in Table 3. For persons
19 in the high-risk group at the second test, the sensitivity for predicting future severe
20 liver disease was 0.21, specificity 0.97, NPV 0.99 and PPV 0.09, yielding a general
21 test accuracy of 0.96. For persons at high risk at both tests, sensitivity was 0.10,
22 specificity 0.99, NPV 0.99 and PPV 0.13, resulting in a general test accuracy of 0.98.

1

2 Sensitivity analysis

3 Using a second test at any time during the 12-year baseline follow-up period produced

4 similar results as the main analysis. For instance, the risk of a one-unit change in the

5 FIB-4 between the two tests was 1.82 in the sensitivity analysis vs. 1.81 in the main

6 analysis. Detailed data are given in Supplementary Table 3.

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1 Discussion

2 In this study, conducted in a general population setting, we found that repeating the
3 FIB-4 within a 5-year period can, in comparison with a single measurement, help to
4 identify persons that are at higher risk of developing severe liver disease, a clinically
5 relevant endpoint. An increase in the FIB-4 over time was associated with higher risk
6 while a decrease in the FIB-4 was associated with reduced risk. However, even if
7 there were a clear association between higher risk based on FIB-4 from the
8 ascertained 581 events of severe liver disease, 281 (48.4%) of these events were
9 found in persons classified as low risk at both tests. This finding, however, is better
10 compared with only using a single test, where 74.6% of persons that eventually
11 developed severe liver disease were found in the low-risk group [8], but also a clear
12 indication of the need for improved noninvasive scores of liver disease risk and
13 progression in the general population.

14 About one third of the population was classified as intermediate or high risk at one of
15 the two tests, but only 1.43% developed severe liver disease in up to 27 years of
16 follow-up. This finding suggests that if used as a general population screening tool
17 and requiring all persons with an intermediate or high test to undergo additional
18 testing such as transient elastography [17], a large proportion of the tested persons
19 would have been referred because of false-positive findings, potentially straining
20 healthcare systems and undoing exposure of physical and psychological stress for
21 many healthy individuals.

22 The absolute risk of incident severe liver disease was low (below 2%) in persons that
23 were classified as low or intermediate risk at any of the tests; in contrast, the absolute
24 risk was considerably higher (from 6-13%) in persons defined as high risk at any of

1 the two tests. This observation suggests that persons classified as high risk should be
2 referred to additional evaluation to verify the ‘high risk’ classification.

3 There was no clinically significant increase in prediction when comparing persons at
4 high risk on one test occasion compared with persons at high risk on both tests. While
5 a strategy to test persons at high risk on both tests would lead to an improved
6 specificity and a lower number of false-positives, this was not a major problem and
7 likely counteracted by capturing a lower number of persons that developed severe
8 liver disease, i.e. producing more false-negative tests. These data support the strategy
9 that persons at high risk should undergo additional diagnostics (e.g., elastography)
10 directly and that a ‘wait-and-see’ strategy is not recommendable.

11 The change across risk groups with time was considerable but transitioning from a
12 low- to high-risk classification was rare within a 5-year period (only 0.4%) and still
13 uncommon in transitioning from an intermediate to high risk (5.3%). However, we
14 cannot exclude the possibility that the improvement in FIB-4 was largely due to a
15 falsely high score at the first test and subsequently a result of regression towards the
16 mean. Indeed, persons at high risk on the first test had the highest probability of a
17 change in score.

18

19 We present data from a large population-based cohort study on the natural history of
20 the development of FIB-4 over time, with a mean of 0.020 units per year but
21 markedly affected by age and sex. The findings of this study can be an important
22 reference point in identifying individuals in the general population at risk of severe
23 liver disease in future studies.

24

1 Comparison with previous studies

2 These results can be compared with some previous studies. For instance, Vergniol et
3 al showed that delta values of FIB-4 predicted mortality significantly better than just a
4 baseline value in patients with hepatitis C [18]. Improvement in FIB-4 has been found
5 to associate with improved fibrosis using gold standard liver biopsy in a clinical trial
6 of patients with non-alcoholic steatohepatitis [19], and worsening of FIB-4 has been
7 associated with histological progression of fibrosis in a landmark dual-biopsy study
8 with in median 6.6 years between biopsies [20]. A 2018 American Diabetes
9 Association meeting abstract reported that in a large T2DM population about 0.7%
10 progressed from low to high risk after approximately 4 years, which can be compared
11 with 0.4% in our study. However, the main results of that study are yet to be
12 published [21]. That finding gives some indication that, compared with the general
13 population, the rate of fibrosis progression is faster in persons with diabetes, which is
14 an important risk factor for incident severe liver disease [22].

15 Strengths and limitations

16 The data in the present study are derived from a large population-based cohort and
17 thus generalizability to western countries (such as Sweden) should be high. All
18 laboratory tests were performed using the same methods over time and with a low
19 coefficient of variation (good precision), yielding well-defined and comparably high-
20 quality exposure data with a low misclassification of exposure. The high-quality
21 Swedish national registers allowed us to identify outcomes with little loss to follow-
22 up. We selected 'hard' outcomes (i.e. outcomes that are important to patients and that
23 can be objectively and independently measured) and unlikely to be misclassified. Any

1 misclassification of events is unlikely to be associated with the exposure (FIB-4) and
2 thus non-differential and should not bias the main findings of this study.
3 Some limitations should be mentioned. First, we do not know the reason for the
4 inclusion of transaminases or platelets at either of the two testing occasions.
5 Nonetheless, a large part of the cohort was sampled as part of routine health care in
6 occupational care and not due to symptomatic disease. In addition, we excluded those
7 with known (diagnosed) liver disease before the first baseline examination or with
8 secondary tests only within a 3-month period after the first test to reduce the risk of
9 selecting persons with baseline significant liver disease that led to the second test, or
10 persons with falsely high lab tests. Also, the general risk for severe liver disease was
11 not significantly higher than in those with only a single measurement of FIB-4 which
12 suggests a low risk of selection bias. Second, we cannot be sure that all events are due
13 to NAFLD, although we did censor any person with a specific liver disease other than
14 NAFLD or with coding for alcohol-related cirrhosis or alcohol use disorders at
15 baseline or follow-up, which is why most events are likely due to NAFLD. Still, we
16 did not have access to data on alcohol consumption. There may be undiagnosed or
17 wrongly coded cases with cirrhosis or decompensated cirrhosis (e.g., bleeding varices
18 coded as a peptic ulcer), which would drive our estimates towards the null and the
19 risk of severe liver disease might be higher. Moreover, the selected 'hard' outcomes
20 are likely to lead to contact with specialized care, which would explain why the
21 ascertained cases should have a low likelihood of misclassification. Finally, the cohort
22 was sampled approximately 30 years from today. Such a cohort should have a lower
23 prevalence of obesity and likely a lower prevalence of NAFLD compared to today.
24

1 Implications

2 Based on these data, it seems likely that, in the general population, adding a second
3 measurement of FIB-4 can enhance the identification of individuals at risk of severe
4 liver disease later in life. The absolute risk of severe liver disease in persons classified
5 as low or intermediate risk at both tests, however, was below 2% within 27 years of
6 follow-up. And we previously showed that the risk of severe liver disease within 5
7 years is very low in persons defined as low (0.18%) or intermediate risk (0.38%) per
8 the FIB-4 [8]. Therefore, our data support the contention that persons defined as
9 intermediate risk could be considered for repeated testing and lifestyle modification
10 (e.g., weight loss, physical activity), with repeated testing within 5 years. In contrast,
11 persons defined as high risk should undergo additional diagnostic testing (e.g.,
12 elastography) directly without repeated testing of FIB-4 [23]. Future research is
13 needed to evaluate the significance of a change in FIB-4 (or other scores) in other
14 populations, in particular, those at a higher risk of liver disease. When used in the
15 general population, a definition of new cut-off levels for FIB-4 could be considered.
16 Even more attractive would be the construction of new scores designed for use in the
17 general population. Such scores should ideally be inexpensive and convenient and
18 based on readily available data to allow for use in primary care.

19

20 Conclusions

21 A second measurement of FIB-4 within 5 years of the first was found to improve the
22 identification of individuals at risk of future severe liver disease in this population-
23 based 27-year follow-up study of more than 40,000 persons. However, there were
24 considerable changes in the risk classification over time, with one third of the

1 population being defined as at intermediate or high risk of having advanced fibrosis
2 on at least one of the two tests. In particular, for those in the intermediate risk group,
3 the absolute risk of severe liver disease was low and although repeated testing
4 improves identification of at-risk individuals, this may lead to an increase in false
5 positives. New and improved scores are needed if the use of noninvasive scores in the
6 general population were to be considered for screening purposes.

7

Journal Pre-proof

1 **Figure legends**

2

3 **Figure 1.** Mean changes in the FIB-4 with 95% confidence intervals during the 5-year study
4 period in the full cohort stratified by sex using least squares regression.

5

6 **Figure 2.** Restricted cubic spline reflecting the risk of severe liver disease and change in the
7 FIB-4 between two time points.

8

9 **Figure 3.** Kaplan-Meier curve of the risk of severe liver disease stratified on the nine
10 subgroups from the time of the second test during the first 10 years of follow-up.
11 Clarification: Group 1 signifies low risk, group 2 intermediate risk and group 3 high risk, with
12 the first figure being the risk group at the first testing occasion and the second figure being
13 the risk group at the time of the second test. E.g. group 11 denotes persons defined as low risk
14 at both testing occasions.

15

1 Tables

2 **Table 1.** Characteristics of the cohort with FIB-4 measured at two time points within 5 years
3 at the time of the first and last available measurement. * Missing data in about 5% of the
4 cohort. Abbreviations: ALT, alanine aminotransferase. AST, aspartate aminotransferase. FIB-
5 4, fibrosis-4 index. Gamma-GT, gamma-glutamyltransferase. IQR, interquartile range.

Variable	First test	Last measurement
Person-years at risk (median/IQR)	18.9 (14.8-22.0)	16.2 (12.1-19.2)
Male (N/%)	16,792 (41.2%)	16,792 (41.2%)
Attained age at inclusion (N/median/IQR)	54.5 (45.5-65.1)	57.1 (48.0-67.9)
Attained age at exit (N/median/IQR)	72.9 (64.8-82.0)	72.94 (64.8-82.0)
Number of events after the last measurement (N/%)	-	581 (1.43%)
Time between tests (years, median/IQR)	-	2.4 (1.2-3.9)
FIB-4 value (median/IQR)	0.91 (0.67-1.24)	0.96 (0.70-1.32)
FIB-4 Low (N/%)	31,680 (77.8%)	30,210 (74.2%)
FIB-4 Intermediate (N/%)	8,444 (20.7%)	9,704 (23.8%)
FIB-4 High (N/%)	605 (1.5%)	815 (2.0%)
Change in FIB-4 from the first test (median/IQR)	-	0.05 (-0.13-0.24)
ALT (IU/L, median/IQR)	21 (15-30)	22 (16-31)
AST (IU/L, median/IQR)	20 (16-25)	20 (16-25)
Platelets (10 ⁹ , median/IQR)	261 (222-306)	251 (213-292)
gamma-GT (IU/L, median/IQR)	20 (14-32)	22 (15-36)
Total cholesterol (mg/dL) (median/IQR)*	224 (197-255)	228 (201-255)
Triglycerides (mg/dL) (median/IQR)*	97 (71-150)	106 (71-159)
Glucose (mg/dL) (median/IQR)*	88 (81-97)	90 (83-99)
Blue-collar worker, (N, %)*	21,380 (54.9%)	21,265 (54.3%)

6

Repeated measurements of FIB-4 and severe liver disease

- 1 **Table 2.** Associations of transitioning between risk groups based on FIB-4 and a numeric change in FIB-4 measured up until 5 years after the first test (as a
 2 continuous parameter) and incident severe liver disease after the second test. All models used attained age as the timescale: model 1 adjusted for age, model 2
 3 additionally adjusted for sex and socioeconomic status and model 3 additionally adjusted for the time between tests.
 4 Abbreviations: CI, confidence interval. FIB-4, fibrosis-4 index. HR, hazard ratio. pyr, person-years.

First test	Second test	N (%)	Median FIB-4		Events, total	% of all events	% events in group	Incidence per 1,000 pyr	Median time to event (years, IQR)	HR (95% CI)		
			First test	Second test						HR ¹	HR ²	HR ³
Low risk	Low risk	27,466 (67.4%)	0.76	0.80	281	48.36	1.02	0.63 (0.56-0.71)	16.8 (15.1-19.7)	1.00	1.00	1.00
	Intermediate risk	4,100 (10.1%)	1.07	1.50	81	13.94	1.98	1.41 (1.14-1.76)	15.6 (9.5-19.1)	1.63 (1.26-2.11)	1.61 (1.25-2.09)	1.63 (1.26-2.11)
	High risk	114 (0.3%)	1.04	3.10	7	1.20	6.14	6.60 (3.15-13.9)	7.9 (1.5-16.0)	8.22 (3.87-17.43)	7.91 (3.72-16.81)	7.99 (3.76-16.97)
Intermediate risk	Low risk	2,661 (6.5%)	1.49	1.09	30	5.16	1.13	0.83 (0.58-1.19)	15.5 (9.0-18.1)	0.98 (0.67-1.44)	0.98 (0.67-1.43)	0.97 (0.66-1.43)
	Intermediate risk	5,332 (13.1%)	1.63	1.71	101	17.38	1.89	1.53 (1.26-1.86)	13.7 (7.4-17.0)	1.63 (1.27-2.09)	1.60 (1.25-2.06)	1.60 (1.24-2.05)
	High risk	451 (1.1%)	1.93	3.03	35	6.02	7.76	8.41 (6.04-11.7)	8.9 (3.5-14.6)	8.79 (6.07-12.72)	8.57 (5.91-12.41)	8.64 (5.96-12.52)
High risk	Low risk	83 (0.2%)	3.35	0.95	3	0.52	3.61	2.87 (0.93-8.91)	14.8 (8.8-17.6)	4.00 (1.28-12.47)	3.95 (1.27-12.34)	3.88 (1.24-12.13)
	Intermediate risk	272 (0.7%)	3.00	2.00	10	1.72	3.68	3.66 (1.97-6.81)	10.1 (4.4-15.2)	3.93 (2.07-7.45)	3.84 (2.03-7.29)	3.80 (2.00-7.20)
	High risk	250 (0.6%)	3.41	3.52	33	5.68	13.20	16.47 (11.7-23.2)	7.3 (2.7 - 12.5)	17.81 (12.22-25.95)	17.34 (11.88-25.30)	17.04 (11.67-24.88)
Change in FIB-4 between tests		40,729 (100%)	0.91	0.96	581	100.00	1.43	0.95 (0.87-1.03)	-	1.82 (1.68-1.96)	1.81 (1.67-1.96)	1.81 (1.67-1.96)

5

1 **Table 3.** Test characteristics of persons defined as at intermediate and high risk at the second
 2 (final) measurement and persons defined as high risk at *both* tests. Each group was compared
 3 with persons defined at low risk at both tests based on transitioning between risk groups
 4 between tests. Low: Persons defined as low risk at both tests. Intermediate: Persons defined as
 5 intermediate at the second test. High at last test: persons defined as high at the second test.
 6 High at both tests: persons defined as high at both tests. Abbreviations: NPV, negative
 7 predictive value. PPV, positive predictive value.

8

Risk group	N exposed	N with outcome	N without outcome	
Low	27,466	281	27,185	NPV=99.0
Intermediate at second test	9,704	192	9,512	PPV=2.0
		Sensitivity=40.6	Specificity=74.1	Accuracy=73.7

9

10

Risk group	N exposed	N with outcome	N without outcome	
Low	27,466	281	27,185	NPV=99.0
High at second test	815	75	740	PPV=9.2
		Sensitivity=21.1	Specificity=97.4	Accuracy=96.4

11

12

Risk group	N exposed	N with outcome	N without outcome	
Low	27,466	281	27,185	NPV=99.0
High at both tests	250	33	217	PPV=13.2
		Sensitivity=10.5	Specificity=99.2	Accuracy=98.2

13

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- 36

1 2001. The validity of diagnoses of relevance for this study obtained ranges from 85-
2 95%, depending on diagnosis [1]. Primary care is not included in the NPR.

3 The Cause of Death Register contains data on the causes of death of all Swedish
4 inhabitants, including whether the person died abroad. The responsible physician must
5 report the underlying cause of death (e.g., hepatocellular carcinoma) and any disease
6 that could have contributed to the death of the individual (e.g., liver cirrhosis) [2].

7 The Swedish Cancer Register contains data on verified solid and non-solid tumors
8 since 1958, irrespective of the diagnostic modality. Reporting is mandatory by law for
9 all confirmed (diagnosed) cases to this register. The completeness of the register is
10 estimated to be about 96% [3].

11

Repeated measurements of FIB-4 and severe liver disease – Supplementary Appendix

1

Diagnosis	ICD-10 (1997-)	ICD-9 (1987-1996)	ICD-8 (1969-1986)	ICD-7 *
Severe liver disease				
Liver failure, acute or subacute	K72.0	570	570	
Ascites	R18.9	789.5	785.3	
Esophageal varices, bleeding	I85.0, I98.3	456.0, 456.20	456.0	
Esophageal varices, non-bleeding	I85.9, I98.2	456.1, 456.21	456.0	
Hepatorenal syndrome	K76.7	572.4		
Liver failure, chronic	K72.1	572.8	573	
Liver cirrhosis	K74.6	571.5	571.9	
Liver encephalopathy		572.2	573.02	
Liver failure not otherwise defined	K72.9			
Portal hypertension	K76.6	572.3	571.9	
Hepatocellular carcinoma	C22.0	155.0	155.01	155.0
Procedure codes				
Liver transplantation	JJC00, JJC10, JJC20, DJ005, DJ006, JJC30, JJC40	5200	5200	
Laparocentesis	TJA10	4041	4041	

2

3 **Supplementary Table 1a.** ICD codes used to define endpoints. * ICD-7 was only used in the Swedish Cancer Register.

4

Repeated measurements of FIB-4 and severe liver disease – Supplementary Appendix

1

Liver disease	ICD-10 (1997-)	ICD-9 (1987-1996)	ICD-8 (1969-1986)
AAT deficiency	E88.0A, E88.0B	277.6	
Alcohol-related liver disease	K70	571.0-3	571.00, 571.01
Autoimmune hepatitis	K75.4		
Budd-Chiari syndrome	I82.0, K76.5	453.0	
Hemochromatosis	E83.1	275.0	273.20
PBC	K74.3, K74.5	571.6	
PSC	(K50 or K51) + K83.0	(555 or 556) + 576.1	563 + 575.05
Wilson	E83.0B	275.1	273.30
Viral hepatitis	B15, B16, B17, B18, B19	070, 571.4	070, 999.20
Alcohol/drug use disorders			
Alcohol-related diagnoses	E24.4, F04.9, F10, G31.2, G62.1, G72.1, I42.6, K29.2, K85.2, K86.0, O35.4, X65, Y15, Y91	255, 294.0, 291, 303, 305.0, 357.5, 359.4, 425.5, 535.3, 577, 655.4	258, 291.1, 299,
Other drug use disorders	F11-F19	292, 305	

2

3 **Supplementary Table 1b.** ICD codes used to define liver diseases other than NAFLD and diagnoses associated with alcohol or drug use disorders. AAT,
4 alpha-1-antitrypsin. PBC, primary biliary cholangitis. PSC, primary sclerosing cholangitis.

5

Repeated measurements of FIB-4 and severe liver disease – Supplementary Appendix

Parameter	Full Cohort	Low-Low	Low-Intermediate	Low-High	Intermediate-Low	Intermediate-Intermediate	Intermediate-High	High-Low	High-Intermediate	High-High
Number of persons	40,729	27,466	4,100	114	2,661	5,332	451	83	272	250
Person-years at risk (years)	613,376	442,704	57,308	1,060	36,198	66,168	4,160	1,044	2,730	2,003
Person-years at risk, median (IQR)	16.21 (12.11-19.16)	16.81 (15.13-19.71)	15.63 (9.46-19.12)	7.94 (1.55-15.99)	15.51 (8.95-18.09)	13.69 (7.39-17.04)	8.92 (3.53-14.57)	14.84 (8.79-17.57)	10.14 (4.37-15.25)	7.30 (2.74-12.50)
Male, n (%)	16,792 (41.23)	10,734 (39.08)	1,917 (46.76)	77 (67.54)	1,123 (42.20)	2,386 (44.75)	237 (52.55)	41 (49.40)	139 (51.10)	138 (55.20)
Attained age at inclusion, median (IQR)	57.12 (47.98-67.89)	51.92 (45.31-60.57)	65.14 (58.14-72.42)	65.20 (53.69-73.67)	65.39 (56.37-72.91)	72.13 (65.22-76.91)	73.77 (67.60-78.41)	57.06 (48.74-69.25)	73.24 (65.78-78.07)	73.06 (65.88-78.24)
Attained age at exit, median (IQR)	72.94 (64.81-82.01)	68.78 (62.56-76.82)	80.17 (72.40-86.13)	73.94 (66.08-83.19)	79.17 (71.46-85.72)	84.07 (78.28-88.91)	82.72 (76.20-88.08)	70.58 (63.66-80.23)	82.69 (75.42-87.98)	80.68 (72.14-87.23)
Number of events, n (%)	581 (1.43)	281 (1.02)	81 (1.98)	7 (6.14)	30 (1.13)	101 (1.89)	35 (7.76)	3 (3.61)	10 (3.68)	33 (13.20)
Years between 1 st and 2 nd tests, median (IQR)	2.41 (1.23-3.89)	2.29 (1.17-3.77)	2.86 (1.55-4.18)	2.53 (1.46-4.20)	2.44 (1.24-3.93)	2.64 (1.35-4.03)	2.92 (1.61-4.02)	1.52 (0.78-3.43)	2.25 (1.18-3.76)	2.11 (0.98-3.46)
FIB-4 at baseline, median (IQR)	0.96 (0.70-1.32)	0.80 (0.62-0.99)	1.50 (1.38-1.70)	3.10 (2.84-3.75)	1.09 (0.93-1.19)	1.71 (1.49-2.00)	3.03 (2.82-3.51)	0.95 (0.76-1.10)	2.00 (1.62-2.30)	3.52 (3.02-4.72)
Change in FIB-4 from 1 st test, median (IQR)	0.05 (-0.13-0.24)	0.03 (-0.10-0.17)	0.49 (0.32-0.72)	2.18 (1.77-3.16)	-0.45 (-0.70--0.29)	0.06 (-0.17-0.31)	1.20 (0.79-1.63)	-2.42 (-3.34--1.94)	-1.12 (-1.68--0.68)	0.09 (-0.46-0.87)
ALT (IU/L), median (IQR)	21.76 (15.88-31.17)	22.35 (16.47-31.76)	21.18 (15.29-31.76)	47.35 (17.06-122.35)	21.18 (15.88-28.82)	20.59 (15.29-28.23)	22.94 (14.12-42.35)	22.94 (17.06-33.53)	21.18 (14.70-30.88)	28.53 (17.65-55.29)
AST (IU/L), median (IQR)	20.00 (16.47-24.70)	18.82 (15.29-22.94)	24.12 (20.00-30.59)	64.41 (31.76-128.82)	18.82 (15.88-22.35)	22.94 (19.41-28.23)	32.94 (25.29-52.35)	19.41 (16.47-25.29)	24.12 (20.00-30.59)	37.64 (26.47-70.00)
Platelets (10 ⁹), median (IQR)	251 (213-292)	266 (231-306)	220 (191-253)	179 (148-212)	251 (218-287)	209 (181-240)	167 (141-199)	261 (221-305)	198 (165-233)	142 (114-175)
gamma-GT (IU/L), median (IQR)	21.60 (15.00-35.99)	21.60 (14.40-34.79)	22.80 (15.60-40.79)	61.19 (26.39-185.96)	22.20 (15.60-37.19)	21.60 (15.00-37.19)	29.99 (16.20-91.18)	25.19 (17.40-40.79)	23.40 (16.80-53.39)	52.79 (21.60-127.77)
Total cholesterol (mg/dL)*, median (IQR)	228 (201-255)	224 (197-255)	232 (205-259)	224 (189-266)	232 (205-259)	232 (205-259)	224 (193-255)	237 (205-263)	224 (197-251)	216 (189-247)
Triglycerides (mg/dL)*, median (IQR)	106.20 (70.80-159.30)	106.20 (70.80-159.30)	106.20 (79.65-159.30)	123.90 (79.65-185.85)	115.05 (79.65-168.15)	106.20 (70.80-150.45)	97.35 (70.80-150.45)	123.90 (79.65-185.85)	115.05 (79.65-168.15)	106.20 (79.65-168.15)
Glucose (mg/dL)*, median (IQR)	90.09 (82.88-99.10)	90.09 (82.88-99.10)	90.09 (82.88-100.90)	91.89 (82.88-100.90)	91.89 (84.68-100.90)	90.09 (82.88-100.90)	91.89 (82.88-106.31)	93.69 (82.88-106.31)	95.50 (84.68-107.21)	95.50 (86.49-113.51)
Blue-collar worker*, n (%)	21,265 (54.31)	14,145 (53.26)	2,114 (53.74)	58 (55.77)	1,400 (55.29)	2,994 (59.31)	236 (56.32)	41 (53.25)	139 (55.60)	138 (58.72)

Repeated measurements of FIB-4 and severe liver disease – Supplementary Appendix

1 **Supplementary Table 2.** Characteristics of the cohort with FIB-4 measured at two time points within 5 years at the time of the first measurement and stratified by
2 risk groups at the first and second tests. For example, ‘Low-Low’ means the subgroup of the cohort was defined as low risk at both tests. *Missing data in about 5%
3 of the cohort. Abbreviations: ALT, alanine aminotransferase. AST, aspartate aminotransferase. FIB-4, fibrosis-4 index. Gamma-GT, gamma-glutamyltransferase.
4 IM, intermediate. IQR, interquartile range.

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Repeated measurements of FIB-4 and severe liver disease – Supplementary Appendix

1 **Supplementary Table 3.** Last measurement of FIB-4 within 12 years from baseline. Associations of transitioning between risk groups based on FIB-4 and
 2 numeric change in FIB-4, measured as a continuous parameter, and incident severe liver disease after the second test. All models used attained age as the
 3 timescale: Model 1 adjusted for age, model 2 additionally adjusted for sex and socioeconomic status in addition to age and model 3 additionally
 4 adjusted for the time between tests. Abbreviations: CI, confidence interval. FIB-4, fibrosis-4 index. HR, hazard ratio. pyr, person-years.

First test	Second test	N	%	Median FIB-4		Events	%	Events/N	Incidence per 1,000 pyr	HR (95% CI)		
				First test	Second test					HR ¹	HR ²	HR ³
Low risk	Low risk	29,798	67.15	0.75	0.80	298	47.23	1.00%	0.65 (0.58-0.73)	1.00	1.00	1.00
	Intermediate risk	4,845	10.92	1.06	1.51	103	16.32	2.13%	1.64 (1.36-2.00)	1.88 (1.48-2.37)	1.86 (1.47-2.35)	1.86 (1.47-2.36)
	High risk	175	0.39	1.02	3.24	9	1.43	5.14%	6.27 (3.26-12.05)	7.58 (3.90-14.76)	7.42 (3.81-14.46)	7.47 (3.83-14.56)
Intermediate risk	Low risk	2,685	6.05	1.49	1.08	30	4.75	1.12%	0.86 (0.60-1.23)	1.02 (0.70-1.49)	1.01 (0.69-1.48)	1.01 (0.69-1.48)
	Intermediate risk	5,682	12.80	1.62	1.72	100	15.85	1.76%	1.52 (1.25-1.85)	1.62 (1.26-2.08)	1.60 (1.25-2.05)	1.60 (1.24-2.05)
	High risk	566	1.28	1.89	3.04	44	6.97	7.77%	9.35 (6.96-12.57)	9.94 (7.12-13.89)	9.75 (6.97-13.64)	9.77 (6.98-13.67)
High risk	Low risk	79	0.18	3.35	0.93	4	0.63	5.06%	4.19 (1.57-11.15)	6.01 (2.24-16.11)	5.97 (2.23-16.03)	5.94 (2.21-15.95)
	Intermediate risk	280	0.63	3.03	1.98	8	1.27	2.86%	3.05 (1.52-6.09)	3.28 (1.61-6.66)	3.22 (1.58-6.54)	3.20 (1.57-6.52)
	High risk	265	0.60	3.39	3.59	35	5.55	13.21%	17.61 (12.6-24.5)	19.21 (13.32-27.69)	18.81 (13.02-27.16)	18.71 (12.94-27.05)
Change in FIB-4 between tests		44,375	100.00	0.90	0.97	631	100.00	1.42%	1.00 (0.92-1.08)	1.82 (1.70-1.95)	1.82 (1.70-1.95)	1.82 (1.70-1.95)

1

2 Supplementary Table 4. Differences in key parameters between the persons included

3 in this study (n=40,729) compared to persons that only had a single testing occasion

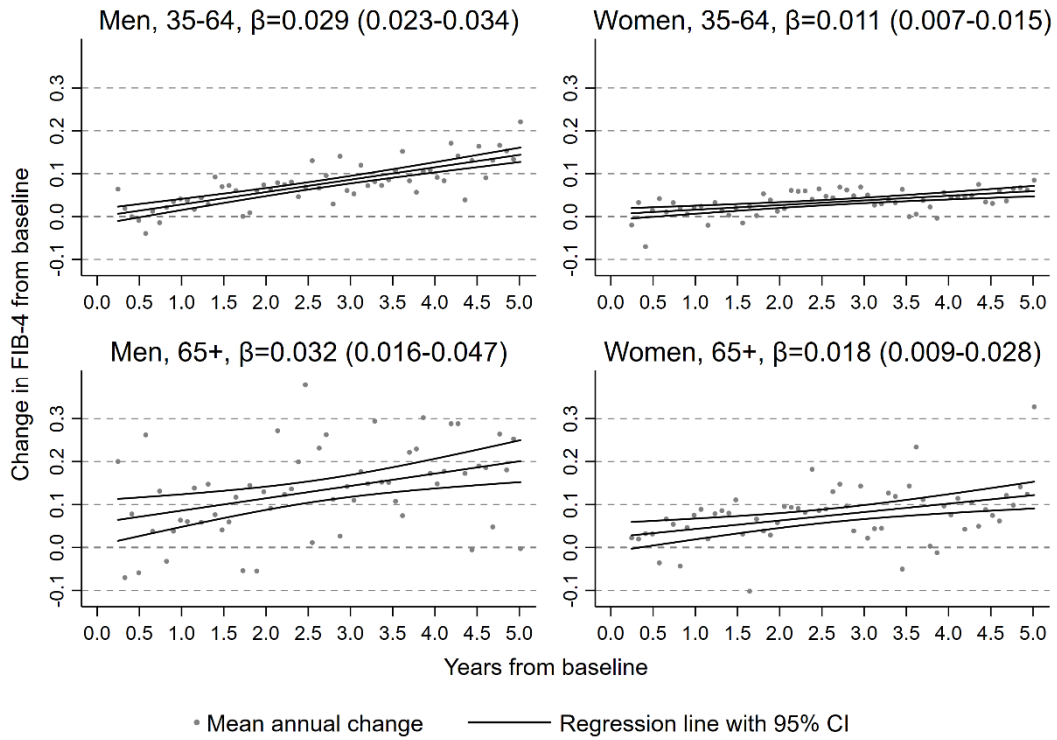
4 (n=79,705)

Parameter	Persons with repeated measurements of FIB-4 (n=40,729) (Mean)	Persons without repeated measurements of FIB-4 (n=79,705) (Mean)	Mean difference (95%CI)
Age (years)	55.0	52.4	2.6 (2.4 – 2.7)
Sex (male, %)	41.2	45.9	4.6 (4.0 – 5.2)
ALT (IU/L)	26	28	1.4 (0.96 – 1.83)
AST (IU/L)	23	22	0.22 (-0.004 – 0.44)
Platelets (x10 ⁹)	268	261	6.7 (5.9 – 7.5)
FIB-4 score (continuous)	1.03	0.97	0.063 (0.057 – 0.070)
FIB-4 category (%)			
Low	77.8	82.1	4.3 (3.8 – 4.8)
Intermediate	20.7	16.6	4.1 (3.7 – 4.6)
High	1.5	1.3	0.2 (0.04 – 0.31)
Persons with outcome during follow-up (%)	1.42	1.33	0.09 (-0.04 – 0.24)
Time to event, years	17.6	16.4	1.2 (1.1 – 1.2)

5

6

- 1 **Supplementary Figure 1.** Mean changes in FIB-4 with 95% CIs for 5 years in men and
- 2 women stratified by age using a least-squares regression.
- 3



4

References

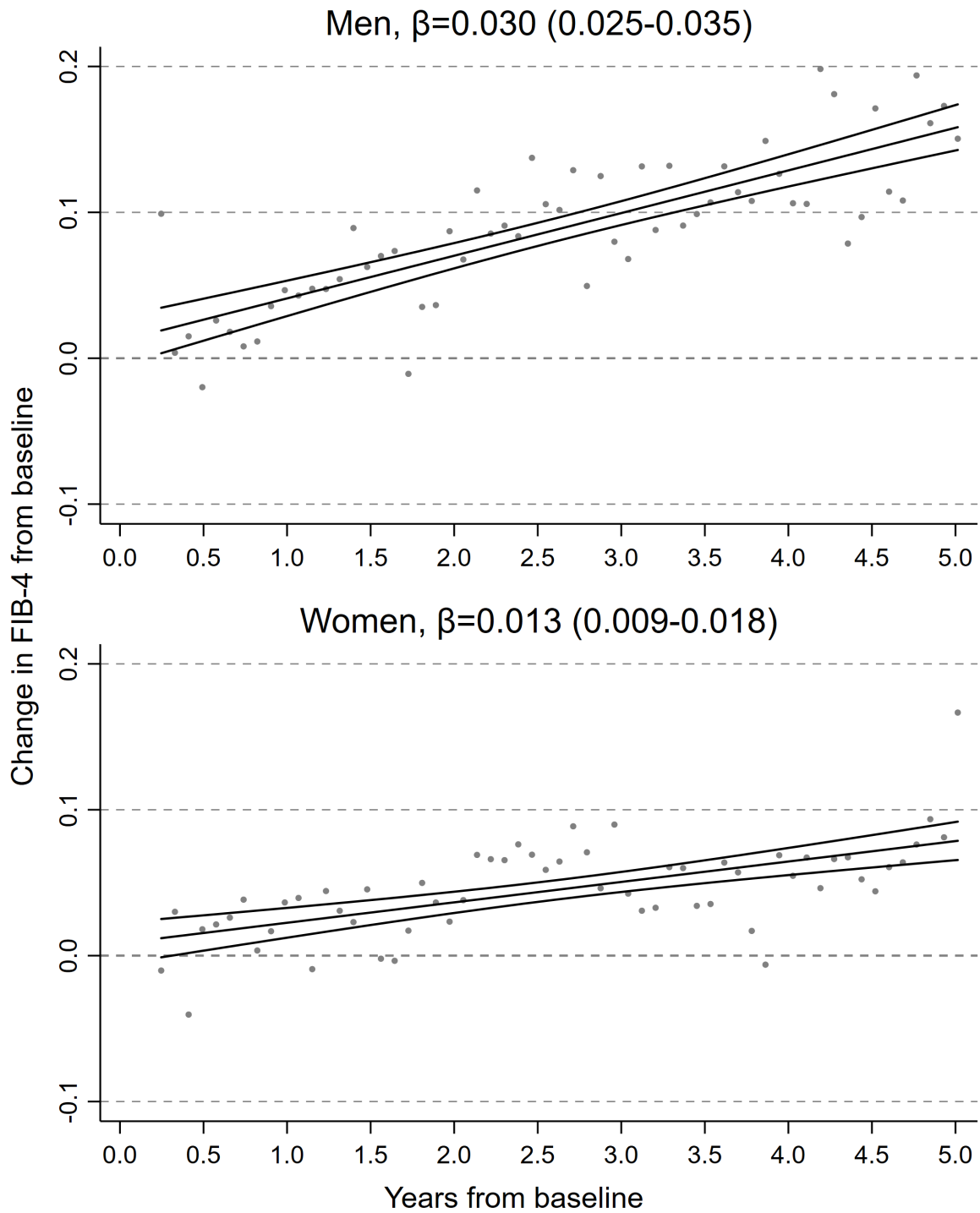
- [1] Ludvigsson JF, Andersson E, Ekbom A, Feychting M, Kim JL, Reuterwall C, et al. External review and validation of the Swedish National Inpatient Register. *BMC public health* 2011;11:450.
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- [3] Barlow L, Westergren K, Holmberg L, Talback M. The completeness of the Swedish Cancer Register: a sample survey for year 1998. *Acta Oncologica (Stockholm, Sweden)* 2009;48:27-33.

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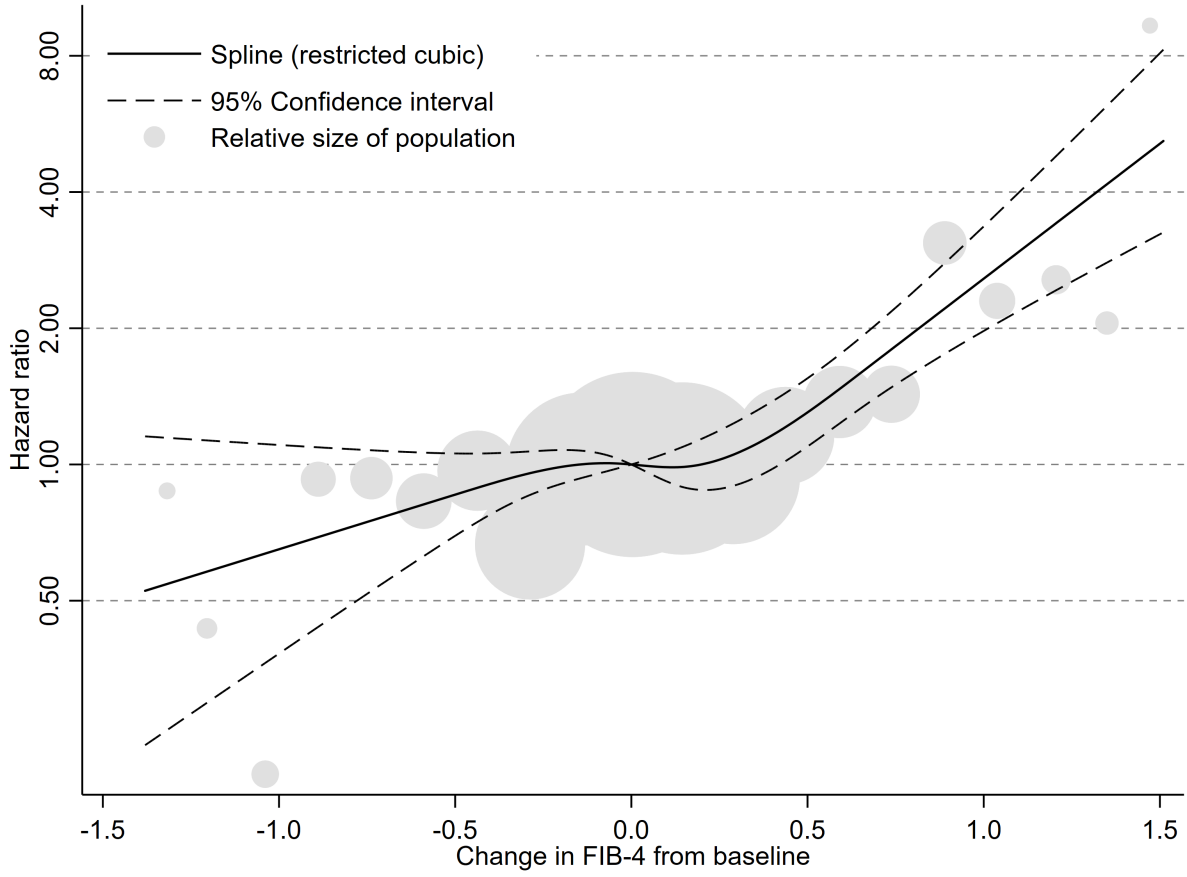
Highlights

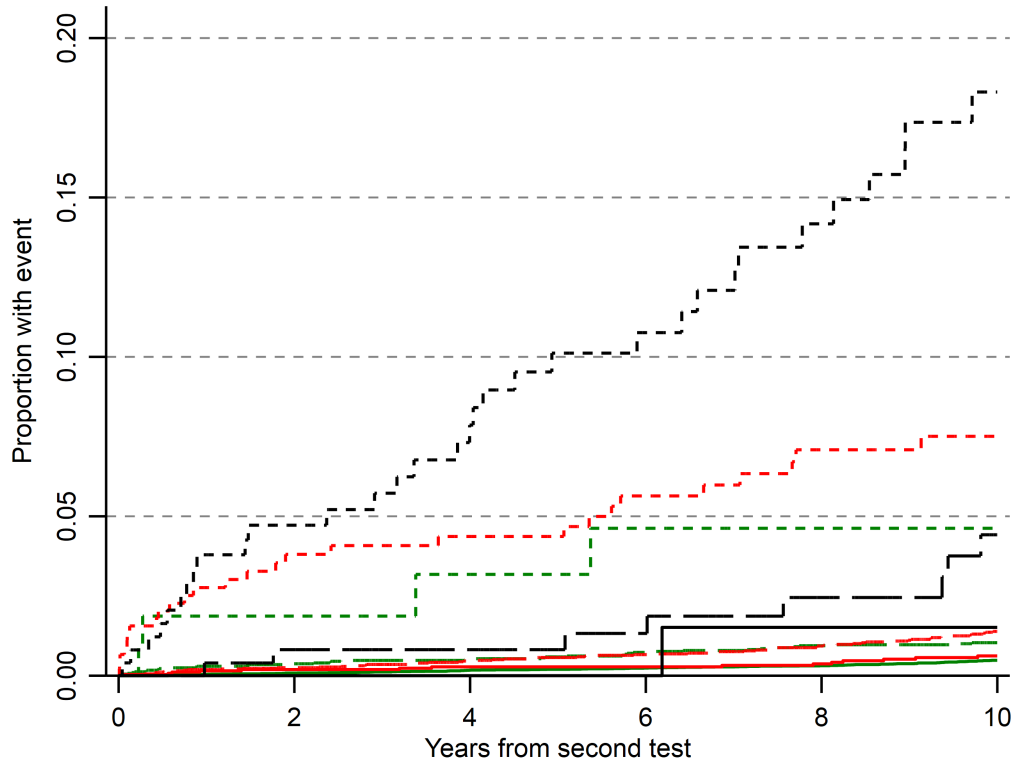
- An increase in FIB-4 over time is associated with risk of severe liver disease
- Repeating FIB-4 tests can help to identify those at risk for severe liver disease
- 50% of severe liver disease outcomes had consistently low or intermediate FIB-4
- About 1/3 of the cohort had intermediate or high FIB-4 at one of the tests
- FIB-4 is likely insufficient for screening for fibrosis in the general population

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• Mean annual change — Regression line with 95% CI





Number at risk

Group = 11	27,466	26,588	25,870	25,158	24,359	23,575
Group = 12	4,100	3,841	3,661	3,437	3,239	3,010
Group = 13	114	81	72	64	57	55
Group = 21	2,661	2,485	2,355	2,215	2,064	1,914
Group = 22	5,332	4,946	4,599	4,269	3,882	3,466
Group = 23	451	359	326	292	242	201
Group = 31	83	70	68	66	64	59
Group = 32	272	233	213	182	163	138
Group = 33	250	198	168	138	115	81

