

### Cardiovascular Effects of Incretin-Based Therapies: Integrating Mechanisms With Cardiovascular Outcome Trials

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As the worldwide prevalence of diabetes and obesity continues to rise, so does the risk of debilitating cardiovascular complications. Given the significant association between diabetes and cardiovascular risk, the actions of glucose-lowering therapies within the cardiovascular system must be clearly defined. Incretin hormones, including GLP-1 (glucagon-like peptide 1) and GIP (glucose-dependent insulinotropic polypeptide), are gut hormones secreted in response to nutrient intake that maintain glycemic control by regulating insulin and glucagon release. GLP-1 receptor agonists (GLP-1Ras) and dipeptidyl peptidase 4 inhibitors (DPP-4is) represent two drug classes used for the treatment of type 2 diabetes mellitus (T2DM) that improve glucose regulation through stimulating the actions of gut-derived incretin hormones or inhibiting their degradation, respectively. Despite both classes acting to potentiate the incretin response, the potential cardioprotective benefits afforded by GLP-1Ras have not been recapitulated in cardiovascular outcome trials (CVOTs) evaluating DPP-4is. This review provides insights through discussion of clinical and preclinical studies to illuminate the physiological mechanisms that may underlie and reconcile observations from GLP-1Ra and DPP-4i CVOTs. Furthermore, critical knowledge gaps and areas for further investigation will be emphasized to guide future studies and, ultimately, facilitate improved clinical management of cardiovascular disease in T2DM.

The gastrointestinal tract coordinates nutrient intake and utilization by peripheral tissues, and unraveling the integrative physiological network connecting these functions has revealed several drug targets. Glucagon-like peptide 1 (GLP-1) and glucose-dependent insulinotropic polypeptide (GIP) are hormones released in response to nutrient intake that potentiate glucose-stimulated insulin secretion (1). Their hormonal action is limited to the postprandial period because of their rapid inactivation by dipeptidyl peptidase 4 (DPP-4), a serine protease that cleaves two N-terminal amino acids, and subsequent renal elimination. Two classes of drugs that potentiate the effects of gut-derived hormones have been developed for type 2 diabetes mellitus (T2DM): GLP-1 receptor agonists (GLP-1Ras), which are peptides based on human or nonmammalian structures, and DPP-4 inhibitors (DPP-4is), which stabilize endogenous GLP-1 and other substrates, including GIP.

While glucose-lowering therapies have demonstrated efficacy in reducing microvascular events in patients with T2DM, preventing macrovascular complications has proven more difficult. The number of pharmacological tools available to endocrinologists has increased in recent years, with significant advancements in effective glucoselowering drugs. Since the introduction of these incretinbased therapies to the market, DPP-4is have been widely adopted to manage glucose levels with few side effects, and the use of GLP-1Ras is steadily increasing (2). In agreement with the 2008 guidance of the U.S. Food and Drug Administration, cardiovascular outcome trials (CVOTs) have been performed to evaluate the cardiovascular safety of these agents. Most use the 3-point major

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adverse cardiovascular end point (MACE), comprised of cardiovascular mortality, nonfatal myocardial infarction (MI), and nonfatal stroke. Here, we review the results of incretin therapy CVOTs and provide molecular insights into potential mechanisms using smaller clinical studies and translationally relevant studies in animal and cellular models.

#### **GLP-1Ras and Cardiovascular Outcomes**

The first completed GLP-1Ra CVOT, the Evaluation of Lixisenatide in Acute Coronary Syndrome (ELIXA), demonstrated that lixisenatide treatment of subjects with T2DM was noninferior to placebo for 3-point MACE, with similar observations reported in the Exenatide Study of Cardiovascular Event Lowering (EXSCEL). Conversely, 3point MACE results from CVOTs on the longer-acting GLP-1Ras liraglutide (Liraglutide Effect and Action in Diabetes: Evaluation of Cardiovascular Outcome Results [LEADER]), semaglutide (Trial to Evaluate Cardiovascular and Other Long-Term Outcomes with Semaglutide in Subjects with Type 2 Diabetes [SUSTAIN-6]), albiglutide (Harmony Outcomes), and dulaglutide (Researching Cardiovascular Events with a Weekly Incretin in Diabetes [REWIND]) were quite positive (Fig. 1A). GLP-1Ra CVOTs have also demonstrated inconsistencies regarding the secondary outcome of hospitalization for heart failure (HF), whereby the LEADER and Harmony Outcomes CVOTs reported trends toward reduced hospitalization rates, whereas the SUSTAIN-6 and REWIND CVOTs reported no differences, as reviewed in Gopal et al. (3).

# Potential Mechanisms Underlying GLP-1Ra–Mediated Cardioprotection

#### GLP-1Ras and Atherosclerosis

Post hoc analysis of the LEADER trial demonstrated that patients with a history of MI, stroke, or established disease demonstrate more significant cardiovascular benefit using liraglutide than subjects with elevated risk factors alone (4). Patients enrolled in these CVOTs receive standard-of-care measures, including optimal lipid lowering and antihypertensives; therefore, together with the timeline required for protection, GLP-1Ras are likely antiatherogenic (5). Consistent with this, preclinical mouse studies have described the reduced progression of atherosclerosis with liraglutide and semaglutide through reduced residual inflammation in the atherosclerotic plagues of Western diet (WD)-fed  $Ldlr^{-/-}$  and  $Apoe^{-/-}$  mice (6). Dulaglutide treatment reduced the atherosclerotic plaque area and aortic arch macrophage infiltration in Apoe<sup>-/-</sup> mice with streptozotocin (STZ)-induced experimental type 1 diabetes (7). This benefit was also associated with reduced aortic expression of markers of inflammation and increased plaque stability. Interestingly, the antiatherogenic effect of dulaglutide was more pronounced when administered to younger diabetic mice (at 10-18 weeks old rather than 18-26 weeks old). As assessed in the

brachiocephalic artery by iMAP intravascular ultrasound, plaque stability was increased with lixisenatide in Watanabe heritable hyperlipidemic rabbits (8). Moreover, lixisenatide decreased circulating lymphocytes and interleukin-6 levels in  $Apoe^{-/-}$ : $Irs2^{+/-}$  mice, and plaque macrophages displayed increased arginase and decreased inducible nitric oxide (NO) synthase expression, indicating an anti-inflammatory (M2) phenotype (9). However, establishing reductions in atherogenesis or plaque composition in patients with T2DM has proven more challenging. Treatment of subjects with exenatide once weekly for up to 18 months improved glycemic control but did not significantly alter the volume of carotid plaque (MRI) or the lipid-rich necrotic core or calcification (10). Exploratory analysis of the LEADER trial evaluating factors contributing to the time to first MACE identified several factors, including HbA<sub>1c</sub>, body weight, systolic blood pressure (BP), and LDL cholesterol (11). Nevertheless, preclinical studies demonstrated that reduced lesion progression with GLP-1Ras was independent of changes in body weight or total cholesterol (6). Additionally, the collective effect size on atherogenic LDL cholesterol in the MACE trials is modest (12). Postprandial triacylglycerol-rich lipoproteins are reduced by GLP-1Ra (13), and treatment with liraglutide in a prospective 18-month real-world study did reduce carotid intermedial thickness, which was associated with reduced plasma triacylglycerols (14). However, the contribution of reduced triacylglycerol-rich lipoproteins to reduced atherogenesis is currently unclear.

#### GLP-1Ras and Hypertension

GLP-1Ras frequently produce antihypertensive effects in murine hypertension models and in T2DM MACE trials, which may contribute to their cardioprotective properties (11), independent of glucose lowering and weight loss (15). Liraglutide-mediated reductions in systolic and diastolic BP in angiotensin II-infused C57BL/6J mice were due to atrial natriuretic peptide (ANP) release from atrial cardiomyocytes, which relaxes the vasculature (16). Intriguingly, liraglutide failed to lower systolic and diastolic BP in angiotensin II-infused ANP-deficient mice. Nonetheless, the clinical relevance of the proposed GLP-1r-ANP axis in reducing human BP is questionable, as liraglutide increased plasma ANP levels in subjects with T2DM in some studies (17) but not in others (18). The enhancement of the vasodilatory response may also explain the antihypertensive effects of GLP-1Ras through direct GLP-1R activation in the vasculature. Endotheliumdependent vasodilation in response to acetylcholine was improved in preconstricted aortic rings isolated from WDfed  $Apoe^{-/-}$  mice treated with liraglutide versus the control, which was abolished by cotreatment with the GLP-1R antagonist exendin(9-39) (19). Conversely, intracoronary infusion of GLP-1(7-36) did not augment coronary flow in open-chest, anesthetized dogs and failed to induce vasodilation in preconstricted coronary artery rings (20).

Α		EXSCEL			LEADER			SUSTAIN-6		
GLP1Ra		Drug Name: EXENATIDE • Patient Inclusion Criteria: Adults with T2DM (HbAre 6.5 to 10.0%) with or without previous cardiovascular events • Primary Composite Outcome: Cardiovascular death, nonfatal myocardial infarction, nonfatal stroke			Drug Name: LIRAGLUTIDE • Patient Inclusion Criteria: Age > 50 years with T2DM (HDAv > 7.0%) and established cardiovascular risk factors • Primary Composite Outcome: Cardiovascular death, nonfatal myocardial infarction, nonfatal stroke			Drug Name: SEMAGLUTIDE • Patient Inclusion Criteria: Age > 50 years with T2DM (HbA+ > 7.0%) and established cardiovascular risk factors • Primary Composite Outcome: Cardiovascular death, nonfatal myocardial infarction, nonfatal stroke		
		OUTCOME	EXENATIDE	PLACEBO	OUTCOME	LIRAGLUTIDE	PLACEBO	OUTCOME	SEMAGLUTIDE	PLACEBO
	The second second	PCO	839 (11.4%)	905 (12.2%)	PCO	608 (13.0%)	694 (14.9%)	PCO	108 (6.6%)	146 (8.9%)
		CD	229 (3.1%)	258 (3.5%)	CD	181 (3.9%)	227 (4.9%)	CD	44 (2.7%)	46 (2.8%)
		MI	455 (6.2%)	470 (6.4%)	MI	275 (5.9%)	304 (6.5%)	MI	47 (2.9%)	64 (3.9%)
		STROKE	155 (2.1%)	177 (2.4%)	STROKE	152 (3.3%)	163 (3.5%)	STROKE	27 (1.6%)	44 (2.7%)
		HHF	219 (3.0%)	231 (3.1%)	HHF	218 (4.7%)	248 (5.3%)	ннғ	59 (3.6%)	54 (3.3%)
			ELIXA			HARMON	Y		REWIND	
CVOTS SUMMARY		Drug Name: LIXISENATIDE • Patient Inclusion Criteria: Age 2:30 years with T2DM (H0A:S5 to 11.0%) and an acute coronary event within the previous 180 days			Drug Name: <b>ALBIGLUTIDE</b> • Patient Inclusion Criteria: Age 2.40 years with T20M (HbAx: > 7.0%) and established cardiovascular disease			Drug Name: DULAGLUTIDE • Patient Inclusion Criteria: Age > 50 years with T2DW (HbAv ≤ 9.5% and a previous cardiovascular visk factors		
		Primary Co Cardiovascular nonfatal stroke	mposite Outcome r death, nonfatal myoca e, hospitalization for un	rdial infarction, stable angina	Primary Co Cardiovascular nonfatal strok	mposite Outcome death, nonfatal myoca	rdial infarction,	Primary Co Death from ca myocardial inf	mposite Outcome: rdiovascular or unknow arction, nonfatal stroke	n cause, nonfatal
		OUTCOME	LIXISENATIDE	PLACEBO	OUTCOME	ALBIGLUTIDE	PLACEBO	OUTCOME	DULAGLUTIDE	PLACEBO
	and the second se	PCO	406 (13.4%)	399 (13.2%)	PCO	338 (7.1%)	428 (9.0%)	PCO	594 (12.0%)	663 (13.4%)
Colores -		CD	88 (2.9%)	93 (3.1%)	CD	102 (2.2%)	109 (2.3%)	CD	317 (6.4%)	346 (7.0%)
		MI	255 (8.4%)	247 (8.1%)	MI	160 (3.4%)	228 (4.8%)	MI	205 (4.1%)	212 (4.3%)
		STROKE	54 (1.8%)	49 (1.6%)	STROKE	76 (1.6%)	91 (1.9%)	STROKE	135 (2.7%)	175 (3.5%)
		HHF	122 (4.0%)	127 (4.2%)	HHF <sup>†</sup>	188 (4.0%)	218 (4.6%)	HHF*	213 (4.3%)	226 (4.6%)
B DPP4i		CAROLINA			TECOS			CARMELINA		
		Drug Name: LINAGLIPTIN • Patient Inclusion Criteria: Adults with early T2DM (Hok- 6.5% to 8.5% or 6.5% to a grindle therapy and high cardiovacular risk • Primary Composite Outcome: Cardiovacular disk nontial invocardial infarction.			Drug Name: SITAGLIPTIN * Patient Inclusion Criteria: Age 250 years with T2DM (HbAr: 6.5 to 8.0%) and established cardiovascular disease * Primary Composite Outcome: Cardinavirular death onestal owner-article infarction			Drug Name: LINAGLIPTIN • Patient Inclusion Criteria: T2DM (HbA: 6.5% to 10.0%) patients with high cardiovascular and renal risk • Primary Composite Outcome: Cardiovascular death nonlatal myocardial infarction.		
		nonfatal strok	e		nonfatal stroke	e, hospitalization for un	stable angina	nonfatal strok	e	
		OUTCOME	LINAGLIPTIN n=3023	GLIMEPIRIDE n=3010	OUTCOME	SITAGLIPTIN n=7332	PLACEBO n=7339	OUTCOME	LINAGLIPTIN n=3494	PLACEBO n=3485
		PCO	356 (11.8%)	362 (12.0%)	PCO	839 (11.4%)	851 (11.6%)	PCO	434 (12.4%)	420 (12.1%)
		CD	129 (4.3%)	125 (4.2%)	CD	311 (4.2%)	291 (4.0%)	CD	221 (6.3%)	225 (6.5%)
		MI	141 (4.7%)	138 (4.6%)	MI	275 (2 80%)		MI	154 (4.4%)	132 (3.8%)
						275 (5.670)	286 (3.9%)			
		STROKE	86 (2.8%)	101 (3.4%)	STROKE	145 (2.0%)	286 (3.9%) 157 (2.1%)	STROKE	59 (1.7%)	63 (1.8%)
		STROKE HHF	86 (2.8%) 112 (3.7%)	101 (3.4%) 92 (3.1%)	STROKE HHF	145 (2.0%) 228 (3.1%)	286 (3.9%) 157 (2.1%) 229 (3.1%)	STROKE	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
		STROKE HHF	86 (2.8%) 112 (3.7%)	101 (3.4%) 92 (3.1%)	STROKE HHF	145 (2.0%) 228 (3.1%)	286 (3.9%) 157 (2.1%) 229 (3.1%)		59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
C s	VOTs UMMARY	STROKE HHF SA Drug Name: • Patient Inc Age 24 Oyear established cc 60 (women) w	86 (2.8%) 112 (3.7%) VOR-TIM SAXAGLIPTIN Iusion Criteria: with T20M (HOALS 6.6 rdiovascular disease or indexiduar risk f.	101 (3.4%) 92 (3.1%) I 53	STROKE HHF Drug Name: • Patient Inc T2DM (HbAr: coronary synd	ALOGLIPTIN Iusion Criteria: 5% to 11.0% patients 5% to 11.0% patients	286 (3.9%) 157 (2.1%) 229 (3.1%) E	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
Cs	VOTS UMMARY	STROKE HHF SA Drug Name: • Patient Inc • Patient Inc • Patient Inc • Primary Co Cardiovascula • Primary Co	86 (2.8%) 112 (3.7%) VOR-TIM SAXAGLIPTIN Iusion Criteria: s with 12DM (HDAI 6.6) th cardiovascular (isk f mposite Outcome death, nonfatal myoca	101 (3.4%) 92 (3.1%) <b>1 53</b> 5% to 12%) and age ≥ 55 (men). ≥ c: c: rdial infarction,	STROKE HHF Drug Name: • Patient Inc T2DM (HDAte & coronary 200 erritovascula nonfatal strok	ALOGLIPTIN Isofo Criteria: Isofo Criteria: Isofo Criteria: Isofo Cutcome death, nonfatal myoca State Context Con	2286 (3.9%) 157 (2.1%) 229 (3.1%) E with an acute is 15 to go days	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
C s	VOTS UMMARY	STROKE HHF SA Drug Name: • Patient Inc • Patient Inc • Patient Inc • Primary Co Cardiovascula • Primary Co	86 (2.8%) 112 (3.7%) VOR-TIM SAXAGLIPTIN Iusion Criteria: swith 12DM (Hohal 6.6 ith cardiovascular (isk f. mposite Outcome o death, nonfatal myoca SAXAGLIPTIN	101 (3.4%) 92 (3.1%) 1 53 5% to 12%) and age > 55 (men), 2 actors rdial infarction,	STROKE HHF Drug Name: • Patient Inc T2DM (HDAte & coronary 200 Cardiovascula nonfatal strok	ALOGLIPTIN ALOGLIPTIN Mathin the previous roome within the previous roo	286 (3.9%) 157 (2.1%) 229 (3.1%) E with an acute to days critical infarction, PLACEDO	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
C s	VOTS UMMARY	STROKE HHF SA Drug Name: • Patient Ind Age 2-40 year established c. 60 (women) w • Primary CO Cardiovascula montael strok	86 (2.8%) 112 (3.7%) VOR-TIM SAXAGLIPTIN Iusion Criteria: Sevent account of the account ith cardiovascular risk from mposite Outcome death, nonfatal myoca e SAXAGLIPTIN CALLED G13 (7.3%)	101 (3.4%) 92 (3.1%) 1 53 5% to 12%) and age ≥ 55 (men), ≥ actors rolal infarction, PLACEE0 609 (7.2%)	STROKE HHF Drug Name: • Patient Inc T2DM (HbAse G coronary sync OC Gardiovascular montatal strok OUTCOME PCO	ALOGLIPTIN ALOGLIPTIN MALOGLIPTIN ALOGLIPTIN MALOGLIPTIN MEDIAL	286 (3.9%) 157 (2.1%) 229 (3.1%) E with an acute is 15 to 90 days idial infarction, PLACEBO 00000 316 (11.8%)	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
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C s	VOTS UMMARY	STROKE HHF SA Drug Name: • Patient Inc Age bach dat 60 (wome) • Primary Co Cardiovast 00 UTCOME PCO CD MI	86 (2.8%) 112 (3.7%) <b>XVOR-TIM</b> <b>SAXAGLIPTIN</b> <b>:</b> <b>:</b> <b>:</b> <b>:</b> <b>:</b> <b>:</b> <b>:</b> <b>:</b>	101 (3.4%) 92 (3.1%) 92 (3.1%) <b>1 53</b> 5% to 12%) and age 2 55 (mm). 2 ctors ct	STROKE HHF Patient Inc T2DM (HDAte for coronary 2000) OUTCOME PCO CD MI	ALOGLIPTIN Lusion Criteria: 55% to 11.0%) patients: 55% to 11.0%) patients: cfeath. nonfatal myoca death. nonfatal myoca ALOGLIPTIN 305 (11.3%) 89 (3.3%) 187 (6.9%)	286 (3.9%) 157 (2.1%) 229 (3.1%) E with an acute is 15 to 90 days cital infarction, PLACEBO 316 (11.8%) 111 (4.1%) 173 (6.5%)	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)
C s	VOTS UMMARY	STROKE HHF SA Drug Name: • Patient Inc Age 2-dD year 60 women contast Strok OUTCOME PCO CD MI STROKE	86 (2.8%) 112 (3.7%) VOR-TIM SAXAGLIPTIN :usion Criteria: s with 12DM (HbAl 6.6.1) into cardiovascular fisk mposite Outcome death.nonfatal myoca SAXAGLIPTIN Cardiovascular fisk fila (7.3%) 269 (3.2%) 265 (3.2%) 157 (1.9%)	101 (3.4%) 92 (3.1%) 92 (3.1%) <b>1 53</b> <b>5%</b> to 12%) and age 2 55 (mm), 2 actions critical infarction, <b>PLACEBO</b> for (7.2%) 260 (2.9%) 278 (3.4%) 141 (1.7%)	STROKE HHF Patient Inc 12DM (HbAu: coronary 2DM (HbAu: coronary 2CO Cardiovascula endiatal strok OUTCOME PCO CD Mi STROKE	ALOGLIPTIN ALOGLIPTIN Ission Criteria: 55% to 11.0%) patients: 55% to 11.0%) patients: 55% to 11.0%) patients: ALOGLIPTIN autor Criteria: 55% to 11.0%) patients: ALOGLIPTIN autor Criteria: 55% to 11.0%) patients: ALOGLIPTIN autor Criteria: 55% to 11.0%) patients: ALOGLIPTIN 1000 (1000) patients: 1000 (1000) (100	286 (3.9%) 157 (2.1%) 229 (3.1%) E with an acute is 15 to 90 days idial infarction. PLACEBO 316 (11.8%) 111 (4.1%) 113 (6.5%) 32 (1.2%)	STROKE HHF	59 (1.7%) 209 (6.0%)	63 (1.8%) 226 (6.5%)

**Figure 1**—Summary of CVOTs for GLP-1Ra and DPP-4i. *A*: Six large-scale randomized CVOTs evaluating cardiovascular safety/efficacy of different GLP-1Ras in T2DM patients with established CVD : EXSCEL, LEADER, SUSTAIN-6, ELIXA, Harmony Outcomes, and REWIND. A dagger indicates a composite of cardiovascular death (CD) or hospitalization for heart failure (HHF). An asterisk indicates hospitalization for HF or an urgent visit. *B*: Five large-scale randomized CVOTs evaluating cardiovascular safety/efficacy of different DPP-4i in T2DM patients with established CVD: EXAMINE, SAVOR-TIMI 53, TECOS, CARMELINA, and CAROLINA. Of note, the patients included in these 5 CVOTs already received standard care with cardiovascular protection (i.e., statins and antiplatelet agents) and T2DM management. PCO, primary composite outcome; stroke, nonfatal stroke. The numbers provided represent the first occurrence of the primary composite end point if data were available and thus may not match reported PCOs that include subjects who reached multiple end points.

GLP-1Ras may also reduce BP by decreasing vascular inflammation. In C57BL/6J mice infused with angiotensin II for 1 week, liraglutide treatment attenuated aortic wall infiltration by LY6G<sup>-</sup>:LY6C<sup>+</sup> monocytes (where LY6G is lymphocyte antigen 6 complex, locus G) and LY6G<sup>+</sup>:LY6C<sup>+</sup> neutrophils while decreasing systolic BP (21). Furthermore,

these effects were associated with decreased aortic levels of proinflammatory mediators (nuclear factor  $\kappa B$  [NF- $\kappa B$ ] and tumor necrosis factor- $\alpha$ ) and leukocyte adhesion molecules (vascular cell adhesion molecule 1 and intercellular adhesion molecule 1). Interestingly, these beneficial effects were abolished in mice with

endothelium-specific but not myeloid-specific GLP-1R 1 deficiencies.

While these rodent studies have improved our potential understanding of antihypertensive mechanisms, as already mentioned, GLP-1Ra-mediated reductions in BP are not as potent in humans, and this is also observed in larger animal species (e.g., pigs and dogs), as reviewed in Ussher and Drucker (22). Therefore, BP reductions are unlikely to be a major contributor to the cardioprotection reported in GLP-1Ra CVOTs. Further emphasizing this point, albiglutide had negligible actions on BP lowering despite significant improvements in cardiovascular outcomes in Harmony Outcomes, whereas exenatide significantly lowered BP, albeit mildly, but did not improve cardiovascular outcomes in EXSCEL.

### GLP-1Ras and MI

In patients undergoing percutaneous coronary intervention, a randomized, placebo-controlled study involving a 6-h exenatide infusion prior to reperfusion onset reported an improved myocardial salvage index (23). This translated into a reduced infarct size if infusion occurred <132min from the time of first medical contact to balloon treatment, and benefits were observed in subjects with and without diabetes. However, as mentioned previously, the EXSCEL CVOT did not observe decreases in cardiovascular outcomes or fatal/nonfatal MI events (24).

GLP-1 and GLP-1Ras decrease infarct size in mice, rats, rabbits, and pigs following temporary ligation of the left anterior descending (LAD) or circumflex coronary arteries (22). However, a caveat to these studies is that nearly all were performed in healthy young animals, with surprisingly few studies performed in animals with experimental obesity and/or T2DM. Likewise, similar to issues relating to studying antihypertensive actions described previously, the effect of GLP-1Ras on infarct size is not as reproducible in larger animal models, with several studies in pigs reporting no benefit, though this could also be partially due to their limited collateral circulation. It is possible that decreased inflammation is responsible for increased vascular function/coronary blood flow and attenuated infarct size (25). GLP-1Ras may also decrease infarct size during ischemia/reperfusion by inhibiting cardiomyocyte apoptosis (26). Furthermore, albiglutide-mediated reductions in infarct size are associated with increased myocardial glucose oxidation, which may improve cardiac efficiency, as carbohydrates are a more oxygen-efficient fuel (27).

#### GLP-1Ras and HF

While the LEADER and Harmony Outcomes trials demonstrated trends toward reduced hospitalization rates for HF, all other GLP-1Ra CVOTs have been neutral for this end point. Furthermore, two randomized placebo-controlled trials suggested no clear benefit and potential adverse effects for liraglutide in HF subjects with reduced ejection fraction (HFrEF). The Functional Impact of GLP- 1 for Heart Failure Treatment (FIGHT) trial included subjects with HFrEF who were recently hospitalized for acute HF and treated with either liraglutide or a placebo for 6 months (28). Although the FIGHT trial reported no changes in HF-related outcomes or cardiac function, a mild but nonsignificant signal for harm was observed for liraglutide, which appeared greater in subjects with coexistent T2DM. Similarly, the Effect of Liraglutide on Left Ventricular Function in Stable Chronic Heart Failure Patients (LIVE) trial demonstrated that treatment with liraglutide for 24 weeks did not affect cardiac function in subjects with stable HFrEF with or without T2DM (29). However, a higher prevalence of serious adverse cardiac events, including atrial fibrillation and aggravation of ischemic heart disease, was observed with liraglutide, raising concerns about the safety of GLP-1Ras in individuals with HFrEF.

Conversely, preclinical studies examining the impact of liraglutide and other GLP-1Ras in HFrEF have been largely positive. Indeed, a 7-day pretreatment with liraglutide prior to MI induction via permanent LAD coronary artery ligation increased survival and ameliorated adverse left ventricular (LV) remodeling in both nondiabetic and diabetic mice (26). Moreover, in obese mice fed a WD for 20 weeks, liraglutide treatment during the final week improved LV ejection fraction in a 5'AMP activated protein kinase (AMPK)-dependent manner, as improvement was not observed in mice concurrently treated with the AMPK inhibitor compound C (30). In Ossabaw swine fed a WD for 6 months and subjected to MI using an ameroid constrictor placed around the LAD coronary artery, liraglutide treatment for 4 weeks did not reduce infarct size but did improve cardiac efficiency (31). This effect was attributed to  $\beta_1$ -adrenoceptor downregulation, which would decrease myocardial O2 demands. In contrast but drawing similar parallels to observations in the FIGHT and LIVE trials, liraglutide treatment for 42 days exacerbated cardiac hypertrophy and fibrosis in nondiabetic J2N-k hamsters, which develop a spontaneous dilated cardiomyopathy (32).

HF with preserved ejection fraction (HFpEF) is more prevalent in patients with diabetes than the general population, and early diastolic dysfunction (a form of diabetic cardiomyopathy) is often undiagnosed because of a lack of routine cardiovascular screening in the early stages of T2DM (3). Currently, no clinical studies have investigated the impact of GLP-1Ras in subjects with HFpEF. However, liraglutide treatment for 6 months improved diastolic function, indicated by an increased peak early diastolic tissue velocity (e') and decreased LV end diastolic volume in the Magnetic Resonance Assessment of Victoza Efficacy in the Regression of Cardiovascular Dysfunction in Type 2 Diabetes Mellitus (MAGNA VICTORIA) study (29). In addition, 6 months of liraglutide treatment improved diastolic function in subjects with T2DM, indicated by an increased e'/peak late diastolic tissue velocity (a') ratio

and a decreased peak early diastolic flow velocity (E)/e' ratio (33). These observations have been recapitulated in preclinical studies, as liraglutide treatment improved global longitudinal strain in aged WD-fed, angiotensin IIinduced female mice, indicating reduced diastolic dysfunction (34). Treatment alleviated fibrosis and proinflammatory gene expression and decreased capillary density, all of which promote diabetic cardiomyopathy (3). Furthermore, liraglutide-mediated improvements in diastolic function (increased E/peak atrial flow velocity [A] and decreased E/e') in WD-fed mice administered low-dose STZ to induce T2DM were associated with increased myocardial glucose oxidation rates (35). As direct impairments in myocardial glucose oxidation precipitate diastolic dysfunction (3), liraglutide's ability to stimulate glucose oxidation may explain how GLP-1Ras alleviate diabetic cardiomyopathy. GLP-1Ras may also mitigate diastolic dysfunction in T2DM by decreasing oxidative stress. Exenatide administration for 4 weeks increased the myocardial expression of antioxidant enzymes (manganesedependent superoxide dismutase and catalase) in mice fed a WD for 24 weeks, increasing the E/A ratio (36). Liraglutide therapy for 4 weeks also increased myocardial catalase activity in Sprague-Dawley rats with WD/low-dose STZ-induced T2DM, although diastolic function was not assessed (37).

#### Reconciling Preclinical Mechanisms of Action With Observations From GLP-1Ra CVOTs

It is not surprising that most GLP-1Ra CVOTs have yielded positive findings based on the available preclinical data and associated mechanisms (Fig. 2). ELIXA's neutral outcomes may have been due to lixisenatide's shorter half-life and the higher-risk population studied (subjects had acute coronary events within 180 days of screening). Improvements in vascular function and decreases in oxidative stress as well as circulating lipids may contribute to the antiatherosclerotic properties of GLP-1Ras, possibly explaining the decreased cardiovascular events, including MI, in subjects with T2DM. A highly contested aspect of GLP-1Ra-induced cardioprotection that requires further interrogation involves GLP-1R expression in the cardiovascular system, which is often impacted by the species and tools (e.g., antibodies) used. In rodents, ventricular cardiomyocytes do not express the canonical GLP-1R, while recent studies of human heart extracts demonstrated GLP-1R expression in all four chambers (16,38). However, efforts to determine whether the GLP-1R is meaningfully expressed in vascular smooth muscle cells, endothelial cells, and immune cells have been plagued by inconsistencies. GLP-1Ra-induced heart rate elevations may contribute to the potential worsened outcomes observed for subjects with HFrEF in the FIGHT and LIVE trials. It should be noted that the average increase in heart rate in the LIVE trial ( $\sim$ 7 bpm) is greater than those observed in most GLP-1Ra CVOTs (0.4 to 3.0 bpm).

#### **DPP-4is and Cardiovascular Outcomes**

The DPP-4i trials have been extensively reviewed elsewhere (22) and are summarized in Fig. 1B. Although their designs were not entirely consistent, the five CVOTs yielded similar results: DPP-4is (alogliptin, saxagliptin, sitagliptin, and linagliptin) were noninferior to placebo, demonstrating their cardiovascular safety when added to standard care (39-42). The Cardiovascular and Renal Microvascular Outcome Study With Linagliptin (CARM-ELINA) demonstrated both cardiovascular and renal safety of linagliptin versus placebo (43). In addition to disappointing results for obvious cardiovascular benefit, the Saxagliptin Assessment of Vascular Outcomes Recorded in Patients with Diabetes Mellitus-Thrombolysis in Myocardial Infarction 53 (SAVOR-TIMI 53) trial revealed an increased risk of hospitalization for HF (40), which was highest among patients with elevated baseline brain natriuretic peptide levels, prior HF, or impaired renal function at study entry (44). However, the increased HF hospitalization risk was not associated with an increased risk of cardiovascular death (40). Interestingly, these results were not replicated in the Trial Evaluating Cardiovascular Outcomes With Sitagliptin (TECOS) and CARMELINA, as their HF hospitalization rates did not differ among patients with T2DM, even between those with a history of HF or chronic kidney disease, and were independent of LV ejection fraction (41). In a secondary analysis of TECOS, cardiovascular and all-cause deaths after HF hospitalization were also similar between the sitagliptin and control groups (45). Thus, the increase in HF hospitalization observed in the SAVOR-TIMI 53 trial may be related to its design or to properties of the saxagliptin molecule itself, which may directly impair cardiomyocyte function (46).

# Reconciling the Preclinical Cardioprotection of DPP-4is With Observations of Neutrality in CVOTs

Modest improvements in classic cardiovascular risk factors, including  $HbA_{1c}$ , BP, fasting, and postprandial blood lipids, have been observed with DPP-4is, albeit much lower in magnitude than those observed with GLP-1Ras (12), consistent with the sustained elevation in physiological GLP-1. Here, we discuss aspects of DPP-4 biology that may contribute to the MACE differences observed between DPP-4is and GLP-1Ras.

DPP-4 exists as both a membrane-bound isoform and a soluble form shed from the membrane to circulate in most bodily fluids (sDPP-4), which lacks the intracellular tail and transmembrane domain but maintains enzymatic activity (47). Plasma sDPP-4 level increases are positively associated with coronary artery disease (48,49), endothe-lial dysfunction in patients with T2DM (50), and diabetic nephropathy (51).

Adding to the complexity of its association with disease progression, sDPP-4 originates from various sources depending on the metabolic state. For example, major sDPP-4 sources in healthy rodents are endothelial cells and



Figure 2—Potential mechanisms that may contribute to the cardiovascular benefit afforded by GLP-1Ra. GLP-1Ra-mediated cardioprotection likely results from multiple contributing factors, including a reduction in inflammatory processes and body weight, improvements in vascular function that decrease BP, attenuation of atherosclerosis, and cardiomyocyte-independent actions that improve myocardial function (see the text for references). VSMC, vascular smooth muscle cells.

hematopoietic cells, including bone marrow cells, lymphocytes, and macrophages (52). DPP-4 is stored in secretory granules by cytotoxic lymphocyte populations, and, upon stimulation, these vesicles rapidly translocate to the cell surface in a Ca<sup>2+</sup>-dependent manner to release proteolytically active sDPP-4 (53). Conversely, the increased sDPP-4 in obesity appears to originate from hepatocytes (54,55), as soluble factors released from dysfunctional adipocytes promote hepatic hypoxia-inducible factor  $1\alpha$  expression, increasing hepatic DPP-4 shedding (56). Furthermore, in obese mice, hepatocyte-derived sDPP-4 induces inflammation in macrophages by directly interacting with surface caveolin 1, increasing its phosphorylation and dissociation from complexes with Toll-interacting protein and interleukin-1 receptor-associated kinase 1, which activates NF-KB (54,57). Collectively, these data suggest that obesity-mediated increases in hepatic sDPP-4 activate inflammatory programs in several cell types; however, whether targeting hepatocyte DPP-4 has merit in preventing metabolic disease has not yet been explored clinically.

Surprisingly, sustained DPP-4 inhibition is associated with elevated circulating sDPP-4 levels in mice (55,58). This increase originates predominantly from bone marrowderived tunica intima endothelial kinase receptor tyrosine kinase-positive hematopoietic cells (58). The upregulation of hematopoiesis-derived DPP-4 did not affect tissue or systemic inflammation, dissociating changes in DPP-4 activity from plasma sDPP-4 and inflammatory marker levels (55,58). These data parallel other studies in which DPP-4i treatment abrogates the inflammatory effects of sDPP-4 (59,60). Contrary to findings in mice, continuous DPP-4i treatment did not increase circulating sDPP-4 levels in humans with established cardiovascular disease (CVD) and T2DM (58). Therefore, although some pools of sDPP-4 may contribute to the subclinical inflammation observed in metabolic disease, evidence suggests that, in human subjects with diabetes, DPP-4is have an overall neutral effect on pathways regulating inflammation (Fig. 3).

#### **Progenitor Cell Homing**

Another example where mechanisms for improved cardiovascular function with DPP-4is identified in young mouse models failed to translate was potentiation of C-X-C motif chemokine ligand 12 (CXCL12) signaling to facilitate the homing of C-X-C motif chemokine receptor 4+ progenitor stem cells to sites of myocardial damage, preventing cardiomyocyte apoptosis (61). Genetic elimination and pharmacological inhibition of DPP-4 (62) or potentiation of CXCL12 improves postischemic recovery of cardiac contractility in the hearts of healthy young adult mice (63).



Figure 3 - Potential mechanisms underlying the neutral cardiovascular actions of DPP-4 and DPP-4i. In healthy animals, several pathways within the cardiovascular system have been identified that mediate the effects of DPP-4is; however, the majority have not translated to benefits in subjects with T2DM. Inhibition of DPP-4 in preclinical studies facilitates the homing of CXCR4<sup>+</sup> progenitor stem cells at sites of myocardial damage, prevents cardiomyocyte apoptosis, and improves postischemic recovery of cardiac contractility through increasing SDF-1α levels. In addition, DPP-4i can activate Akt/endothelial NO synthase (eNOS) signaling along with NO generation by the endothelium, enhancing FGF-2/EGR-1/VEGF-A signaling, inhibiting HMGB1 inactivation, promoting signaling pathways related to PGC-1a/NRF-1/TFAM/AMPK, downregulating the JAK/STAT signaling pathway, reducing the expression of NOX-4, and restoring intracellular levels of antioxidant glutathione as well as ATP. Furthermore, DPP-4i can reduce p38/NF-kB signaling while inducing Nrf2 signaling. Additionally, DPP-4i can inhibit PAR2/NF-κB signaling cascades, TLR4-mediated extracellular signal-regulated kinase activation, and the expression levels of advanced glycation end products (AGEs) as well as their receptor, RAGE. DPP-4i can also cause macrophage activation and chemotaxis. Despite the identification of many signaling pathways in cell and preclinical models, in MACE trials neutral outcomes are observed. Adding to the complexity of signaling, sDPP-4 may originate from various sources, such as endothelial cells, bone marrow cells, or hepatocytes, depending on the metabolic states, and activate the complementary pathways. Additionally, sustained treatment of DPP-4i also increases sDPP-4 shedding from bone marrow-derived Tie2<sup>+</sup> hematopoietic cells; conversely, metformin decreases sDPP-4 levels. The green arrows represent the effects of DPP-4i, whereas the red arrows represent the effects of sDPP-4 on the cardiovascular system. Generated with BioRender (biorender.com; publication license FF233Q5E1I).

However, cardioprotection with DPP-4is was not reproduced in aged, obese, diabetic mice (63,64). Aspects of this model have been tested clinically in the Sitagliptin Plus Granulocyte Colony-Stimulating Factor in Patients Suffering From Acute Myocardial Infarction (SITAGRAMI) trial, which combined sitagliptin with colony-stimulating factor 3-mediated stem cell mobilization. Long-term follow-up data showed no improvement in cardiac function or the clinical outcomes of patients with acute MI receiving the combined therapy (65). It is unclear if this mechanism is disrupted due to characterized progenitor cell dysfunction induced by diabetes or whether it can be influenced by the increase in sDPP-4 observed with DPP-4i.

#### **DPP-4is and Metformin**

Although large clinical CVOTs failed to demonstrate obvious cardioprotective benefits for DPP-4is, a recent metaanalysis of three trials demonstrated that individuals receiving baseline metformin treatment had improved cardiovascular outcomes with DPP-4is compared with metformin nonusers, most notably for MI, stroke, cardiovascular mortality, and hospitalization for unstable angina (66). Similarly, another meta-analysis indicated that metformin-DPP-4i combination therapy markedly reduced nonfatal cardiovascular events and CVD mortality compared with metformin plus sulfonylurea (67). The Study on the Prognosis and Effect of Antidiabetic Drugs on Type 2 Diabetes Mellitus with Coronary Artery Disease (SPREAD-DIMCAD) indicated that metformin substantially reduced MACE compared with glipizide, despite similar HbA<sub>1c</sub> levels (68), suggesting that metformin's effects are independent of its glucose-lowering activity. Metformin may potentiate DPP-4i cardioprotection by regulating the incretin pathway. Metformin stimulates intestinal GLP-1 production and promotes GLP-1R as well as GIP receptor expression in islet  $\beta$ -cells via peroxisome proliferator-activated receptor- $\alpha$ , which may increase incretin sensitivity (69). It also lowers circulating sDPP-4 levels (58). Collectively, these mechanisms may enhance the cardiovascular benefits when combined with DPP-4is. However, at this time we cannot discount the contribution of DPP-4-independent mechanisms.

#### **GLP-1Ras Versus DPP-4is**

It remains unclear why DPP-4is, despite stabilizing endogenous GLP-1 levels, have not yielded the positive cardiovascular outcomes seen with GLP-1Ras. The amplified cardiovascular risk in metabolic disease involves a complex interplay between inflammatory, lipid-regulatory, and metabolic factors (70). GLP-1Ras produce clear improvements in glycemia, lipid levels, and metabolism in obesity, whereas DPP-4is impart only modest or limited improvements (12). Moreover, while GLP-1 is the primary DPP-4-regulated substrate responsible for the glucoselowering actions of DPP-4is, DPP-4 substrates influence multiple facets of the cardiovascular system (47). This adds a unique layer of complexity in extrapolating how DPP-4is and GLP-1Ras differentially influence cardiac function in human studies, especially since both native and DPP-4-cleaved peptides can affect the cardiovascular system. For example, the DPP-4-cleaved GLP-1 peptide GLP-1(9-36), which was originally thought to be inactive, may have direct vascular effects that improve cardiac function (22, 71). However, in swine, GLP-1(9-36) had no impact on cardiac function relative to GLP-1(7-36) (72), and both the Harmony Outcomes and REWIND trials were associated with improvements in cardiovascular outcomes despite albiglutide and dulaglutide being highly DPP-4 resistant. These points argue against GLP1(9-36) being critically involved in GLP-1-mediated cardioprotection. Additionally, reduced sensitivity of endogenous signaling pathways to several DPP-4 substrates, including GLP-1, has been described (73, 74). While we have provided the currently known key details from preclinical studies that indicate potential mechanisms explaining GLP-1Ra-induced cardioprotection in T2DM and accounting for discrepancies between preclinical and clinical studies involving DPP-4is, the field is ripe for growth. Studies

in aged and diseased mice did not demonstrate cardiovascular efficacy for DPP-4is. Therefore, it is imperative to use preclinical models that reproduce the multifaceted features of diabetes-related CVD (e.g., structural issues, fibrosis, inflammation, and dyslipidemia) more accurately. Metabolic benefit (HbA<sub>1c</sub> and obesity) with semaglutide has been determined to closely associate with circulating concentrations of the drug (75). Therefore, despite complex signaling mechanisms, plasma exposure of GLP-1Ra may predict efficacy to prevent MACE and explain the discrepancy between DPP-4i and the range of benefits observed in GLP-1Ra trials.

#### The Evolving Field of Cardiovascular Endocrinology

The completion of numerous T2DM CVOTs, including those investigating DPP-4is and GLP-1Ras, has sparked excitement in the rapidly evolving field of cardiovascular endocrinology. Nonetheless, many questions remain unanswered. For instance, the CVOTs completed to date do not indicate whether one agent is more efficacious than another regarding cardiovascular outcomes. Recent guidelines developed by the American Diabetes Association, Diabetes Canada, and the European Association for the Study of Diabetes indicate that individuals with T2DM at high cardiovascular risk should be preferentially prescribed liraglutide and other therapies with demonstrated cardiovascular benefits (2,76). Additionally, ageing, quality of life (i.e., prevention of hypoglycemia), and adherence must be considered.

Current evidence strongly supports that GLP-1R activation is cardioprotective, whereas DPP-4is are not despite increasing physiological GLP-1 action; thus, these two incretin-based therapies cannot be considered equivalent despite a shared glucose-lowering mechanism of action. It will be imperative for the field to continue defining the mechanisms responsible for GLP-1R-induced cardioprotection in people with T2DM and to better understand the cardiac biology of other DPP-4 substrates. A limitation with many of the mechanisms described here is that most were identified in small rodents, where it is easy to genetically manipulate the mechanistic target to confirm its involvement. However, these cardioprotective effects are often more robust in small rodents, such as that observed for GLP-1Ra-mediated BP reductions, which are often milder in humans and dissociated from the actual impact on cardiovascular outcomes (e.g., EXSCEL). Hence, future studies will need to determine whether such mechanisms translate to larger animal models, as this may also pave the way for the development of new therapies that specifically target T2DM-related CVD.

Another important aspect to consider is that increased survival of acute cardiac events has led to an increased prevalence of HF. Cardiac function end points for HF are not actively included in MACE outcomes in most CVOTs, and HFpEF and HFrEF subjects are often conglomerated, given their shared therapeutic regimen. This is highly relevant, given that  $\sim$ 40% of patients with HF have HFpEF, and patients with T2DM are overrepresented in this cohort (3). This may explain some of the inconsistencies in studies investigating the effects of GLP-1Ras in HF. Therefore, careful studies evaluating glucose-lowering therapies used in early-stage T2DM to determine their impact on diastolic dysfunction, which goes unrecognized until more overt cardiac dysfunction develops, are required. Additionally, as therapies like sodium–glucose cotransporter 2 inhibitors have established merit for treating HFpEF in the presence or absence of diabetes (76), more integration and collaboration between endocrinologists and cardiologists is required to address these questions and progress toward a more personalized CVD management approach for patients with T2DM.

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